

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Peter J. DeVries, et al.

Assignee: ABBOTT LABORATORIES

Serial No.: 10/684,109

Filed: October 10, 2003

For: ERYTHROPOIETIN RECEPTOR

BINDING ANTIBODIES

Attorney Docket No.: 6989.US.02

Date: December 19, 2003

EXPRESS MAIL NO.: EV314259969US

<u>Certificate of Mailing under 37 CFR §1.10:</u>

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TRANSMITTAL LETTER

Attention Licensing and Review Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith for the patent application identified above entitled ERYTHROPOIETIN RECEPTOR BINDING ANTIBODIES is the following:

- 1. Petition for Retroactive License Under 37 C.F. R. §5.25 (3 Pages)
- 2. Declaration of Dianne Casuto Pursuant to 37 C.F.R. §5.25 (2 Pages)
- 3. Exhibit A, Application No. 10/684,109 marked up to show changes (71 Pages)
- 4. Exhibit B, Request For Foreign Filing Letters (22 Pages)
- 5. Exhibit C, Confirmation Letters (9 Pages)
- 6. Return Receipt Postcard

The Commissioner is herby authorized to charge the required Filing Fee for this Petition for Retroactive License of \$130.00 to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted, Peter J. DeVries, et al.

ABBOTT LABORATORIES

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Dianne Casuto

Registration No. 40,943 Attorney for Applicants



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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: 1-6-04

Kimberly A Iorio

PETITION FOR RETROACTIVE LICENSE UNDER 37 C.F.R. §5.25

Attention Licensing and Review Commissioner of Patent and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby petition for a retroactive license under 35 U.S.C. §184 in accordance with 37 C.F.R. §§5.14 and 5.25. The \$130.00 fee required by 37 C.F.R. §1.17(h) accompanies this petition. The following statement explains why a retroactive license is necessary and should be granted.

A foreign filing license was previously granted for the subject matter found in U.S. Serial No. 10/269,711. Applicants added information to this U.S. application to create a new continuation-in-part application both for filing with the U.S. Patent and Trademark Office and for foreign filing. The continuation-in-part application entitled, "ERYTHROPOIETIN RECEPTOR BINDING ANTIBODIES" was filed with the U.S. Patent and Trademark Office on October 10, 2003 and received U.S. Serial No. 10/684,109. U.S. Serial No. 10/684,109 is attached herein as Exhibit A. The new text in U.S. Serial No. 10/684,109 (i.e. text that is different from that of the parent application) has been marked with underlining.

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U.S. Serial No. 10/684,109 was filed abroad in the following countries on the dates shown below (37 C.F.R. §5.25(a)(1)):

Argentina	October 14, 2003
Chile	October 13, 2003
Malaysia	October 14, 2003
Peru	October 13, 2003
Taiwan	October 13, 2003
Thailand	October 10, 2003
Uruguay	October 14, 2003
Venezuela	October 13, 2003

Confirmation letters showing that the applications were filed in these countries and the dates on which filing occurred are attached herein as Exhibit B and Exhibit C. (37 C.F.R. §5.25(a)(2)).

Additionally, a PCT Application was filed in the U.S. Receiving Office on October 14, 2003 and received International Application No. PCT/US03/32243.

At the time U.S. Serial No. 10/684,109 was filed abroad it was not under a secrecy order, and it is not currently under a secrecy order. The parent application, U.S. Serial No. 10/269,711 also was not under a secrecy order, and it is not currently under a secrecy order. (37 C.F.R. §5.25(a)(3)(i)).

Review of the new subject matter in U.S. Serial No. 10/684,109 shows it is consistent with the information found in its parent, U.S. Serial No.10/269,711, for which a foreign filing license was granted on December 12, 2002. This new text merely supplements and expands on the subject matter which was disclosed in the parent application. Further, the newly added subject matter does not change the general nature of the invention in a manner that would require the United States application to have been made available for inspection under §181.

The attached Declaration pursuant to 37 C.F.R. §5.25 demonstrates that a foreign filing license had been diligently sought prior to the filing of the proscribed foreign filing. (37 C.F.R. §5.25(a)(3)(ii)). The Declaration also explains why the material was filed abroad through error and without deceptive intent before the required license under §§5.11 and 5.14 had been obtained. (37 C.F.R. §5.25(a)(3)(iii)).

In view of the foregoing statements, the attachments demonstrating foreign filing, the subject matter foreign filed, and the explanations provided in the attached Declaration, Applicants respectfully request that a retroactive foreign filing license be granted.

Should the Examiner have any concerns regarding the above, he or she is respectfully requested to contact the undersigned at the telephone number listed below.

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Respectfully submitted, Peter J. DeVries, et al.

Dianne Casuto

Registration No. 40,943 Attorney for Applicants

ERYTHROPOIETIN RECEPTOR BINDING ANTIBODIES

Application History

This application claims priority to U.S. Provisional Application Serial No. 60/418,031, filed October 14, 2002, hereby incorporated by reference in its entirety.

Technical Field of the Invention

The present invention relates to antibodies that recognize, bind to and, preferably, activate the erythropoietin receptor.

Background of the Invention

Erythropoietin ("EPO") is a glycoprotein that is the primary regulator of erythropoiesis. Specifically, EPO is responsible for promoting the growth, differentiation and survival of erythroid progenitors, which give rise to mature red blood cells. In response to changes in the level of oxygen in the blood and tissues, erythropoietin appears to stimulate both proliferation and differentiation of immature erythroblasts. It also functions as a growth factor, stimulating the mitotic activity of erythroid progenitor cells, such as erythrocyte burst forming and colony-forming units. It also acts as a differentiation factor, triggering transformation of an erythrocyte colony-forming-unit into a proerythroblast (See Erslev, A., New Eng. J. Med., 316:101-103 (1987)).

EPO has a molecular weight of about 34,000 daltons and can occur in three forms - alpha, beta and asialo. During mid- to late gestation, EPO is synthesized in the fetal liver. Subsequently, EPO is synthesized in the kidney, circulates in the plasma and is excreted in the urine.

Human urinary EPO has been isolated and purified (See, Miyake et al., *J. Biol. Chem.*, 252:5558 (1977)). Moreover, methods for identifying, cloning and expressing genes encoding EPO (See U.S. Patent 4,703,008) as well as purifying recombinant EPO from a cell medium (See U.S. Patent 4,667,016) are known in the art.

The activity of EPO is mediated through the binding and activation of a cell surface receptor referred to as the erythropoietin receptor. The EPO receptor belongs to the cytokine receptor superfamily and is believed to contain at least two distinct polypeptides, a 55-72 kDa species and a 85-100 kDa species (See U.S. Patent 6,319,499, Mayeux et al., *J. Biol. Chem.*, 266:23380 (1991), McCaffery et al., *J. Biol. Chem.*, 264:10507 (1991)). Other studies have revealed other polypeptide complexes of EPO receptor having molecular weights such as 110, 130 and 145 kDa (See U.S. Patent 6,319,499).

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Both the murine and human EPO receptors have been cloned and expressed (See D'Andrea et al., *Cell*, 57:277 (1989); Jones et al., *Blood*, 76:31 (1990); Winkelmann et al., *Blood*, 76:24 (1990); WO 90/08822/ U.S. Patent 5,278,065). The full length human EPO receptor is a 483 amino acid transmembrane protein with an approximately 25 amino acid signal peptide (See U.S. Patent 6,319,499). The human receptor demonstrates about a 82% amino acid sequence homology with the murine receptor. *Id.*

In the absence of ligand the EPO receptor exists in a preformed dimer. The binding of EPO to its receptor causes a conformational change such that the cytoplasmic domains are placed in close proximity. While not completely understood, it is believed that this "dimerization" plays a role in the activation of the receptor. The activation of the EPO receptor results in a number of biological effects. Some of these activities include stimulation of proliferation, stimulation of differentiation and inhibition of apoptosis (See U.S. Patent 6,319,499, Liboi et al., *PNAS USA*, 90:11351 (1993), Koury, *Science*, 248:378 (1990)).

It is the relationship between the EPO receptor dimerization and activation that can be used to identify compounds (i.e. such as antibodies) other than EPO that are capable of: (1) dimerizing the EPO receptor; and (2) activating the receptor. These compounds would be useful in treating mammals suffering from anemia and in identifying mammals having a dysfunctional EPO receptor.

Summary of the Invention

In one embodiment, the invention relates to antibodies that bind to the human erythropoietin receptor. In one embodiment, the antibodies comprise a heavy chain variable region that is selected from the group consisting of SEQ ID NOS: 3, 7, 11, 15, 19, 31, 35, 39, 43, 47, 51, 55 and fragments thereof. In another embodiment, the antibodies comprise a light chain variable region that is selected from the group consisting of SEQ ID NOS: 5, 9, 13, 17, 21, 23, 25, 27, 29, 33, 37, 41, 45, 49, 53, 57 and fragments thereof.

In another embodiment, the present invention relates to an isolated antibody that is capable of binding a human erythropoietin receptor in a mammal. Such an antibody comprises a heavy chain variable region or a light chain variable region that comprises a continuous sequence from CDR1 through CDR3. The amino acid sequence of the heavy chain variable region comprising the continuous sequence from CDR1 through CDR3 is selected from the group consisting of: SEQ ID NO:58, SEQ ID NO:59, SEQ ID NO:60, SEQ ID NO:61 and fragments thereof. The amino acid sequence of the light chain variable region comprising the continuous sequence from CDR1 through CDR3 is selected from the group consisting of: SEQ ID NO:62, SEQ ID NO:63, SEQ ID NO:64, SEQ ID NO:65, SEQ ID NO:66, SEQ ID NO:67, SEQ ID NO:68 and fragments thereof.

In another embodiment, the present invention relates to an antibody that activates an endogenous activity of a human erythropoietin receptor in a mammal but does not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

In another embodiment, the present invention relates to an antibody that is capable of activating an endogenous activity of a human erythropoietin receptor in a mammal, wherein said antibody or antibody fragment thereof exhibits a binding affinity within one hundred fold of the binding affinity of endogenous human erythropoietin to the erythropoietin receptor.

In yet another embodiment, the present invention relates to an antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal. The antibody or antibody fragment thereof comprises at least one human heavy chain variable region having the amino acid sequence of SEQ ID NO:3 or antibody fragment thereof, and/or at least one human light chain variable region having the amino acid sequence of SEQ ID NO:5 or antibody fragment thereof, provided that said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

In yet another embodiment, the present invention relates to an antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal. The antibody or antibody fragment thereof comprises at least one heavy chain variable region having the amino acid sequence of SEQ ID NO:7 or antibody fragment thereof, and/or at least one light chain variable region having the amino acid sequence of SEQ ID NO:9 or antibody fragment thereof, provided that said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of

PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

This embodiment also includes other heavy chain variable regions selected from the group consisting of SEQ ID NO: 11, 15, 19, 31, 35, 39, 43, 47, 51, and 55 or an antibody fragment of any of these aforementioned SEQ ID NOS, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1. Other light chain variable regions included in this embodiment may be selected from the group consisting of SEQ ID NO: 13, 17, 21, 23, 25, 27, 29, 33, 37, 41, 45, 49, 53 and 57 or an antibody fragment of any of these aforementioned SEQ ID NOS, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

In yet another embodiment, the invention provides an antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, the antibody comprising the amino acid sequences of at least one heavy chain variable region and at least one light chain variable region selected from the group consisting of SEQ ID NO:11/SEQ ID NO:13, SEQ ID NO:15/SEQ ID NO:17, SEQ ID NO:19/SEQ ID NO:21, SEQ ID NO:11/SEQ ID NO:23, SEQ ID

NO:11/SEQ ID NO:25, SEQ ID NO:11/SEQ ID NO:27, SEQ ID NO:11/SEQ ID NO:29, SEQ ID NO:31/SEQ ID NO:33, SEQ ID NO:35/SEQ ID NO:37, SEQ ID NO:39/SEQ ID NO:41, SEQ ID NO:43/SEQ ID NO:45, SEQ ID NO:47/SEQ ID NO:49, SEQ ID NO:51/SEQ ID NO:53 and SEQ ID NO:55/SEQ ID NO:57 or antibody fragment thereof, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEO ID NO:1.

In yet another embodiment, the present invention relates to a method of activating an endogenous activity of a human erythropoietin receptor in a mammal. The method involves the step of administering to a mammal a therapeutically effective amount of an antibody or antibody fragment thereof to activate the EPO receptor. The antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

In yet a further embodiment, the present invention relates to a method of modulating an endogenous activity of a human erythropoietin receptor in a mammal. The method involves administering to a mammal a therapeutically effective amount of an antibody or antibody fragment thereof to modulate the endogenous activity of a human erythropoietin receptor in a mammal but does not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

In yet a further embodiment, the present invention relates to a method of treating a mammal suffering from pure red cell aplasia induced by neutralizing antierythropoietin antibodies. The method involves administering to a mammal in need of treatment a therapeutically effective amount of an antibody or antibody fragment thereof to activate said receptor, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

In yet a further embodiment, the present invention relates to pharmaceutical compositions. The pharmaceutical compositions of the present invention contain a therapeutically effective amount of an antibody or antibody fragment thereof and a

pharmaceutically acceptable excipient. The antibody or antibody fragment contained in the pharmaceutical composition activates an endogenous activity of a human erythropoietin receptor in a mammal but does not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1).

In yet a further embodiment, the present invention relates to an IgG2 antibody or antibody fragment that binds to and activates the erythropoietin receptor. The IgG2 antibodies or antibody fragments of this embodiment bind to and interact with any epitope that is involved in activating the EPO receptor. Such antibodies may be polyclonal or monoclonal antibodies or any antibody fragment thereof. The IgG2 antibodies may be chimeric, humanized or human antibodies.

In yet a further embodiment, the present invention provides a method of activating an endogenous activity of a human erythropoietin receptor in a mammal comprising the step of administering to a mammal a therapeutically effective amount of an IgG2 antibody or antibody fragment of the invention to activate the receptor.

In yet a further embodiment, the present invention provides a method of modulating an endogenous activity of a human erythropoietin receptor in a mammal comprising the step of administering to a mammal a therapeutically effective amount of an IgG2 antibody or antibody fragment of the invention to modulate the receptor.

In yet another embodiment, the present invention provides a method of treating a mammal suffering aplasia, the method comprising the step of administering to a mammal in need of treatment a therapeutically effective amount of an IgG2 antibody or antibody fragment of the invention to activate the receptor.

In yet another embodiment, the present invention provides a method of treating a mammal suffering aplasia, the method comprising the step of administering to a mammal in need of treatment a therapeutically effective amount of an IgG2 antibody or antibody fragment of the invention to modulate the receptor.

In yet another embodiment, the present invention provides a pharmaceutical composition comprising a therapeutically effective amount of an IgG2 antibody or antibody fragment of the invention and a pharmaceutically acceptable excipient.

Finally, the present invention relates to isolated and purified polynucleotide and amino acid sequences. The isolated and purified polynucleotide sequences can be selected from the group consisting of: SEQ ID NO:2, SEQ ID NO:4, SEQ ID NO:6, SEQ ID NO:8, SEQ ID NO:10, SEQ ID NO:12, SEQ ID NO:14, SEQ ID NO:16, SEQ ID NO:18, SEQ ID NO:20, SEQ ID NO:22, SEQ ID NO:24, SEQ ID NO:26, SEQ ID NO:28, SEQ ID NO:30, SEQ ID NO:32, SEQ ID NO:34, SEQ ID NO:36, SEQ ID NO:38, SEQ ID NO:40, SEQ ID NO:42, SEQ ID NO:44, SEQ ID NO:46, SEQ ID NO:48, SEQ ID NO:50, SEQ ID NO:52, SEQ ID NO:54, SEQ ID NO:56 and fragments, complements and degenerate codon equivalents thereof.

The present invention further relates to isolated and purified amino acid sequences selected from the group consisting of: SEQ ID NO:3, SEQ ID NO:5, SEQ ID NO:7, SEQ ID NO:9, SEQ ID NO:11, SEQ ID NO:13, SEQ ID NO:15, SEQ ID NO:17, SEQ ID NO:19, SEQ ID NO:21, SEQ ID NO:23, SEQ ID NO:25, SEQ ID NO:29, SEQ ID NO:31, SEQ ID NO:33, SEQ ID NO:35, SEQ ID NO:37, SEQ ID NO:39, SEQ ID NO:41, SEQ ID NO:43, SEQ ID NO:45, SEQ ID NO:47, SEQ ID NO:49, SEQ ID NO:51, SEQ ID NO:53, SEQ ID NO:55, SEQ ID NO:57, SEQ ID NO:58, SEQ ID NO:59, SEQ ID NO:60, SEQ ID NO:61, SEQ ID NO:62, SEQ ID NO:63, SEQ ID NO:64, SEQ ID NO:65, SEQ ID NO:66, SEQ ID NO:67, SEQ ID NO:68 and fragments and complements and thereof.

Brief Description of the Figures

Figure 1 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:69 and SEQ ID NO:70, respectively) and amino acid sequence of the heavy chain of human antibody Ab12. The amino acid sequence comprises SEQ ID NOS:71 through 74. The sequence of the constant region alone is shown as SEQ ID NO:75. The variable chain ends at nucleotide 1283. The variable/constant joining region (underlined) is at nucleotides 1284-1289. The constant region is from nucleotides 1290-2826.

Figure 2 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:76 and SEQ ID NO:77, respectively) and amino acid sequence of the light chain of human antibody Ab12. The amino acid sequence comprises SEQ ID NOS:78. The sequence of the constant region alone is shown as SEQ ID NO: 79. The variable chain ends at nucleotide1363. The variable/constant joining region (underlined) is at nucleotides 1364-1369. The constant region is from nucleotides 1370-1618.

Figure 3 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:80 and SEQ ID NO:81, respectively) and amino acid sequence of the heavy chain of human antibody Ab198. The amino acid sequence comprises SEQ ID NOS:82 and SEQ ID NOS 72 through 74. The variable chain ends at nucleotide 1304. The variable/constant joining region (underlined) is at nucleotides 1305-1310. The constant region is from nucleotides 1311-2847.

Figure 4 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:83 and SEQ ID NO:84, respectively) and amino acid sequence of the light chain of human antibody Ab198. The amino acid sequence comprises SEQ ID NOS:78. The variable chain ends at nucleotide 1351. The variable/constant joining region (underlined) is at nucleotides 1352-1357. The constant region is from nucleotides 1358-1606.

Figure 5 shows the competition of Ab12 with ¹²⁵I-labeled EPO for binding to Chinese Hamster Ovary cells expressing recombinant EPO receptor.

Figure 6 shows the results of an EPO dependent human cell proliferation assay using Ab12 and Ab198.

Figure 7 shows that Ab12 remains active in inducing the proliferation of F36E cells after storage at 4°C for up to 20 days.

Figure 8 shows that Ab12 induces the formation of CFU-E (colony forming unit- erythroid) from human 36⁺ progenitor cells.

Figure 9 shows the induction of proliferation of human erythroid producing cells with Ab198.

Figure 10 shows that Ab198 induces the formation of CFU-E colonies from cynomologous bone marrow-derived erythroid progenitor cells.

Figure 11 shows that Ab12 does not interact with the peptide SE-3. Ab71A interacts with the SE-3 peptide.

Figure 12 shows that human Abs secreted by primary hybridomas induce the proliferation of F36E cells.

Figure 13 shows that human Ab supernatants secreted by primary hybridomas interact with intact EPO receptor, but not with peptide SE-3.

Figure 14 shows the activity of various concentrations of Ab12 on the proliferation of UT7/EPO cells.

Figure 15 shows the activity of various concentrations of Ab198 on the proliferation of UT7/EPO cells.

Figure 16 shows the activity of various concentrations of Ab198 (with or without the addition of a secondary goat anti-human FC antibody) on the growth and proliferation of UT7/EPO cells.

Figure 17 shows the activity of various concentrations of Ab12 (with or without the addition of a secondary goat anti-human FC antibody) on the growth and proliferation of UT7/EPO cells.

Figure 18 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-003 of the invention, with Figure 18A (SEQ ID NO:10) representing the nucleotide sequence encoding the

variable region of the heavy chain, Figure 18B (SEQ ID NO:11) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 18A, Figure 18C (SEQ ID NO:12) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 18D (SEQ ID NO:13) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 18C.

Figure 19 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-012 (also referred to herein as Ab12) of the invention, with Figure 19A (SEQ ID NO:2) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 19B (SEQ ID NO:3) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 19A, Figure 19C (SEQ ID NO:4) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 19D (SEQ ID NO:5) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 19C.

Figure 20 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-022 of the invention, with Figure 20A (SEQ ID NO:14) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 20B (SEQ ID NO:15) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 20A, Figure 20C (SEQ ID NO:16) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 20D (SEQ ID NO:17) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 20C.

Figure 21 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-054 of the invention, with Figure 21A (SEQ ID NO:18) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 21B (SEQ ID NO:19) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 21A, Figure 21C (SEQ ID NO:20) representing the nucleotide sequence encoding the

variable region of the light chain, and Figure 21D (SEQ ID NO:21) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 21C.

Figure 22 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-060 of the invention, with Figure 22A (SEQ ID NO:10) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 22B (SEQ ID NO:11) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 22A, Figure 22C (SEQ ID NO:22) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 22D (SEQ ID NO:23) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 22C.

Figure 23 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-102 of the invention, with Figure 23A (SEQ ID NO:10) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 23B (SEQ ID NO:11) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 23A, Figure 23C (SEQ ID NO:24) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 23D (SEQ ID NO:25) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 23C.

Figure 24 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-135 of the invention, with Figure 24A (SEQ ID NO:10) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 24B (SEQ ID NO:11) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 24A, Figure 24C (SEQ ID NO:26) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 24D (SEQ ID NO:27) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 24C.

Figure 25 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-145 of the invention, with Figure 25A (SEQ ID NO:10) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 25B (SEQ ID NO:11) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 25A, Figure 25C (SEQ ID NO:28) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 25D (SEQ ID NO:29) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 25C.

Figure 26 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-198 (also referred to herein as Ab198) of the invention, with Figure 26A (SEQ ID NO:6) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 26B (SEQ ID NO:7) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 26A, Figure 26C (SEQ ID NO:8) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 26D (SEQ ID NO:9) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 26C.

Figure 27 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-254 of the invention, with Figure 27A (SEQ ID NO:30) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 27B (SEQ ID NO:31) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 27A, Figure 27C (SEQ ID NO:32) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 27D (SEQ ID NO:33) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 27C.

Figure 28 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-267 of the invention, with

Figure 28A (SEQ ID NO:34) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 28B (SEQ ID NO:35) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 28A, Figure 28C (SEQ ID NO:36) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 28D (SEQ ID NO:37) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 28C.

Figure 29 is a table showing amino acid sequence alignments of heavy chain variable regions of anti-EPOr mAbs generated according to the invention with their associated germline variable region sequences and identifying framework regions and complementarity determining regions.

Figure 30 is a table showing amino acid sequence alignments of light chain variable regions of anti-EPOr mAbs generated according to the invention with their associated germline variable region sequences and identifying framework regions and complementarity determining regions.

Figure 31 is a graph comparing the erythropoietic activity, at various concentrations, of a gamma-1 Ab 12 monoclonal antibody (Mab) and a gamma-2 Ab 12 Mab on an F36e human erythroleukemic cell line.

Figure 32 is a graph showing the increase in percent reticulocyte and percent hematocrit in trangenic mice subjected to a multiple dosing regimen of vehicle, Epogen (5U) or Ab 12 antibody (5 or 50 μg).

Figure 33 is a graph showing the increase in percent hematocrit in transgenic mice subjected to a weekly dosing regimen (over 3 weeks) of various concentrations of AranespTM or Ab 12.

Figure 34 is a graph showing the increase in percent hematocrit in transgenic mice subjected to single versus weekly dosing regimens of various concentrations of AranespTM or Ab 12.

Figure 35 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-390 of the invention, with Figure 35A (SEQ ID NO:38) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 35B (SEQ ID NO:39) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 35A, Figure 35C (SEQ ID NO:40) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 35D (SEQ ID NO:41) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 35C.

Figure 36 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-412 of the invention, with Figure 36A (SEQ ID NO:42) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 36B (SEQ ID NO:43) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 36A, Figure 36C (SEQ ID NO:44) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 36D (SEQ ID NO:45) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 36C.

Figure 37 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-430/432 of the invention, with Figure 37A (SEQ ID NO:46) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 37B (SEQ ID NO:47) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 37A, Figure 37C (SEQ ID NO:48) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 37D (SEQ ID NO:49) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 37C.

Figure 38 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-467 of the invention, with Figure 38A (SEQ ID NO:50) representing the nucleotide sequence encoding the

variable region of the heavy chain, Figure 38B (SEQ ID NO:51) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 38A, Figure 38C (SEQ ID NO:52) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 38D (SEQ ID NO:53) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 38C.

Figure 39 is a series of representations of the heavy chain and light chain variable region nucleotide and amino acid sequences of the human anti-EPO-R antibody expressed by the cell line designated ABT2-SCX-484 of the invention, with Figure 39A (SEQ ID NO:54) representing the nucleotide sequence encoding the variable region of the heavy chain, Figure 39B (SEQ ID NO:55) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 39A, Figure 39C (SEQ ID NO:56) representing the nucleotide sequence encoding the variable region of the light chain, and Figure 39D (SEQ ID NO:57) representing the amino acid sequence encoded by the nucleotide sequence shown in Figure 39C.

Figure 40 is a table showing amino acid sequence alignments of heavy chain variable regions of anti-EPOr mAbs generated according to the invention with their associated germline variable region sequences and identifying framework regions and complementarity determining regions.

Figure 41 is a table showing amino acid sequence alignments of light chain variable regions of anti-EPOr mAbs generated according to the invention with their associated germline variable region sequences and identifying framework regions and complementarity determining regions.

Figure 42 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:86 and SEQ ID NO:87, respectively) and amino acid sequence of the heavy chain of human antibody Ab390. The amino acid sequence comprises SEQ ID NOS:88 and SEQ ID NOS 72 through 74. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-2006.

Figure 43 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:89 and SEQ ID NO:90, respectively) and amino acid sequence of the light chain of human antibody Ab390. The amino acid sequence comprises SEQ ID NOS:91. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-718.

Figure 44 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:92 and SEQ ID NO:93, respectively) and amino acid sequence of the heavy chain of human antibody Ab412. The amino acid sequence comprises SEQ ID NOS:94 and SEQ ID NOS 72 through 74. The variable chain ends at nucleotide 469. The variable/constant joining region (underlined) is at nucleotides 470-475. The constant region is from nucleotides 476-2012.

Figure 45 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:95 and SEQ ID NO:96, respectively) and amino acid sequence of the light chain of human antibody Ab412. The amino acid sequence comprises SEQ ID NOS:97. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-718.

Figure 46 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:98 and SEQ ID NO:99, respectively) and amino acid sequence of the heavy chain of human antibody Ab432. The amino acid sequence comprises SEQ ID NOS:100 and SEQ ID NOS 72 through 74. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-2006.

Figure 47 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:101 and SEQ ID NO:102, respectively) and amino acid sequence of the light chain of human antibody Ab430. The amino acid sequence comprises SEQ ID NOS:103. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-718.

Figure 48 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:104 and SEQ ID NO:105, respectively) and amino acid sequence of the heavy chain of human antibody Ab467. The amino acid sequence comprises SEQ ID NOS:106 and SEQ ID NOS 72 through 74. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-2006.

Figure 49 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:107 and SEQ ID NO:108, respectively) and amino acid sequence of the light chain of human antibody Ab467. The amino acid sequence comprises SEQ ID NOS:109. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-718.

Figure 50 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:110 and SEQ ID NO:111, respectively) and amino acid sequence of the heavy chain of human antibody Ab484. The amino acid sequence comprises SEQ ID NOS:112 and SEQ ID NOS 72 through 74. The variable chain ends at nucleotide 469. The variable/constant joining region (underlined) is at nucleotides 470-475. The constant region is from nucleotides 470-2012.

Figure 51 shows the isolated and purified polynucleotide (top strand and bottom strands, SEQ ID NO:113 and SEQ ID NO:114, respectively) and amino acid sequence of the light chain of human antibody Ab484. The amino acid sequence comprises SEQ ID NOS:115. The variable chain ends at nucleotide 463. The variable/constant joining region (underlined) is at nucleotides 464-469. The constant region is from nucleotides 470-718.

Detailed Description of the Invention

Definitions

As used herein, the term "antibody" or "immunoglobulin" refers to single chain, two-chain, and multi-chain proteins and glycoproteins that belong to the classes

of polyclonal, monoclonal, chimeric and human or humanized. The term "antibody" also includes synthetic and genetically engineered variants thereof.

As used herein, the term "antibody fragment" refers to Fab, Fab', F(ab')₂ and Fv fragments, as well as any portion of an antibody having specificity toward at least one desired epitope.

As used herein, the term "gamma-2", "gamma-2 isotype" or "IgG2" refers to subclass 2 of immunoglobulin G (IgG), as well as any antibody fragment thereof. The four subclasses of IgG molecules are well characterized and well known to those of ordinary skill in the art. (See for example, Molecular Biology of the Cell, 2nd Edition by Bruce Alberts *et al.*, 1989) Panels of monoclonal antibodies are available that recognize all human isotypes (IgA,IgG, IgD IgE, and IgM) and subisotypes (IgA1,IgA2, IgG1, IgG2, IgG3, and IgG4) of human immunoglobulins.

As used herein, the term "humanized antibody" refers to an antibody that is derived from a non-human antibody (i.e murine) that retains or substantially retains the antigen-binding properties of the parent antibody but is less immunogenic in humans.

As used herein, the term "human antibody" refers to an antibody that possesses a sequence that is derived from a human germ-line immunoglobulin sequence, such as antibodies derived from transgenic mice having human immunoglobulin genes (e.g., XenoMouse® mice), human phage display libraries, or human B cells.

As used herein, the term "epitope" refers to any protein determinate capable of specifically binding to an antibody or T-cell receptors. Epitopic determinants usually consist of chemically active surface groupings of molecules such as amino acids or sugar side chains and usually have specific three dimensional structural characteristics, as well as specific charge characteristics.

As used herein, the term "endogenous" refers to a product or activity arising in the body or cell as opposed to a product or activity coming from outside. As used herein the phrase, a polynucleotide "derived from" or "specific for a designated sequence refers to a polynucleotide sequence that comprises a contiguous sequence of approximately at least 6 nucleotides, preferably at least about 8 nucleotides, more preferably at least about 10-12 nucleotides, and even more preferably at least about 15-20 nucleotides corresponding, i.e., identical or complementary to, a region of the designated nucleotide sequence. The sequence may be complementary or identical to a sequence that is unique to a particular polynucleotide sequence as determined by techniques known in the art. Regions from which sequences may be derived, include but are not limited to, regions encoding specific epitopes, as well as non-translated and/or non-transcribed regions.

The derived polynucleotide will not necessarily be derived physically from the nucleotide sequence of interest under study, but may be generated in any manner, including, but not limited to, chemical synthesis, replication, reverse transcription or transcription, that is based on the information provided by the sequence of bases in the region(s) from which the polynucleotide is derived. As such, it may represent either a sense or an antisense orientation of the original polynucleotide. In addition, combinations of regions corresponding to that of the designated sequence may be modified in ways known in the art to be consistent with the intended use.

As used herein, the phrase "encoded by" refers to a nucleic acid sequence that codes for a polypeptide sequence, wherein the polypeptide sequence or a portion thereof contains an amino acid sequence of at least 3 to 5 amino acids, more preferably at least 8 to 10 amino acids, and even more preferably at least 15 to 20 amino acids from a polypeptide encoded by the nucleic acid sequence. Also encompassed are polypeptide sequences that are immunologically identifiable with a polypeptide encoded by the sequence. Thus, a "polypeptide," "protein" or "amino acid" sequence has at least about 50%, 60%, 70%, 75%, 80%, 85%, 90%, 95% or more identity to the antibodies of the present invention. Further, the antibodies of the present invention may have at least about 60%, 70%, 75%, 80%, 85%, 90% or 95% similarity to a polypeptide or amino sequences of the antibodies of the present invention. The amino acid sequences of the antibodies of the present invention can be selected from the group consisting of SEQUENCE ID NOS: 3, 5, 7, 9, 11, 13, 15, 17,

19, 21, 23, 25, 27, 29, 31, 33, 35, 37, 39, 41, 43, 45, 47, 49, 51, 53, 55 and 57.

Preferred amino acid sequences of the antibodies of the present invention are selected from the group consisting of SEQ ID NOS: 3, 5, 7, 9, 51 and 53.

As used herein, the phrase "recombinant polypeptide," "recombinant protein," or "a polypeptide produced by recombinant techniques", which terms may be used interchangeably herein, describes a polypeptide that by virtue of its origin or manipulation is not associated with all or a portion of the polypeptide with which it is associated in nature and/or is linked to a polypeptide other than that to which it is lined in nature. A recombinant or encoded polypeptide or protein is not necessarily translated from a designated nucleic acid sequence. It also may be generated in any manner, including chemical synthesis or expression of a recombinant expression system.

As used herein, the phrase "synthetic peptide" refers to a polymeric form of amino acids of any length, which may be chemically synthesized by methods well known in the art (See U.S. Patents 4,816,513, 5,854,389, 5,891,993 and 6,184,344).

As used herein, the term "polynucleotide" refers to a polymeric form of nucleotides of any length, either ribonucleotides or deoxyribonucleotides. This term refers only to the primary structure of the molecule. Thus, the term includes double and single-stranded DNA as well as double- and single-stranded RNA. It also includes modifications, such as methylation or capping and unmodified forms of the polynucleotide. The terms "polynucleotide", "oligomer," "oligonucleotide," and "oligo," are used interchangeably herein.

As used herein the phrase "purified polynucleotide" refers to a polynucleotide of interest or fragment thereof that is essentially free, e.g. contains less than about 50%, 60%, 70%, 75%, 80%, 85%, 90%, 95% of the protein with which the polynucleotide is naturally associated. Techniques for purifying polynucleotides of interest are well known in the art and include, for example, disruption of the cell containing the polynucleotide with a chaotropic agent and separation of the polynucleotide(s) and proteins by ion-exchange chromatography, affinity chromatography and sedimentation according to density.

As used herein, the phrase "purified polypeptide" or "purified protein" means a polypeptide of interest or fragment thereof which is essentially free of, e.g., contains less than about 50%, 60%, 70%, 75%, 80%, 85%, 90%, 95%, cellular components with which the polypeptide of interest is naturally associated. Methods for purifying polypeptides of interest are known in the art.

As used herein, the term "isolated" refers to material that is removed from its original environment (e.g., the natural environment if it is naturally occurring). For example, a naturally occurring polynucleotide or polypeptide present in a living animal is not isolated, but the same polynucleotide or DNA or polypeptide, separated from some or all of the coexisting materials in the natural system, is isolated. Such polynucleotide could be part of a vector and/or such polynucleotide or polypeptide could be part of a composition, and still be isolated in that the vector or composition is not part of its natural environment.

As used herein, the term "polypeptide" and "protein" are used interchangeably and refer to at least one molecular chain of amino acids linked through covalent and/or non-covalent bonds. The terms do not refer to a specific length of the product. Thus, peptides, oligopeptides and proteins are included within the definition of polypeptide. The terms include post-translational modifications of the polypeptide, including, but not limited to, glycosylations, acetylations, phosphorylations and the like. In addition, protein fragments, analogs, mutated or variant proteins, fusion proteins and the like are included within the meaning of polypeptide.

As used herein, the phrase "recombinant host cells," "host cells," "cells," "cells," "cell lines," "cell cultures," and other such terms denoting microorganisms or higher eukaryotic cell lines cultured as unicellular entities refer to cells that can be, or have been, used as recipients for recombinant vector or other transferred DNA, and include the original progeny of the original cell that has been transfected.

As used herein, the term "replicon" refers to any genetic element, such as a plasmid, a chromosome or a virus, that behaves as an autonomous unit of polynucleotide replication within a cell.

As used herein, the term "operably linked" refers to a situation wherein the components described are in a relationship permitting them to function in their intended manner. Thus, for example, a control sequence "operably linked" to a coding sequence is ligated in such a manner that expression of the coding sequence is achieved under conditions compatible with the control sequence.

As used herein, the term "vector" refers to a replicon in which another polynucleotide segment is attached, such as to bring about the replication and/or expression of the attached segment.

As used herein, the term "control sequence" refers to a polynucleotide sequence that is necessary to effect the expression of a coding sequence to which it is ligated. The nature of such control sequences differs depending upon the host organism. In prokaryotes, such control sequences generally include a promoter, a ribosomal binding site and terminators and, in some instances, enhancers. The term "control sequence" thus is intended to include at a minimum all components whose presence is necessary for expression, and also may include additional components whose presence is advantageous, for example, leader sequences.

The term "transfection" refers to the introduction of an exogenous polynucleotide into a prokaryotic or eucaryotic host cell, irrespective of the method used for the introduction. The term "transfection" refers to both stable and transient introduction of the polynucleotide, and encompasses direct uptake of polynucleotides, transformation, transduction and f-mating. Once introduced into the host cell, the exogenous polynucleotide may be maintained as a non-integrated replicon, for example, a plasmid, or alternatively, may be integrated into the host genome.

As used herein, the term "treatment" refers to prophylaxis and/or therapy.

As used herein, the term "purified product" refers to a preparation of the product which has been isolated from the cellular constituents with which the product is normally associated and from other types of cells that may be present in the sample of interest.

As used herein, the phrase "activation of an erythropoietin (EPO) receptor" refers to one or more molecular processes which an EPO receptor undergoes that result in the transduction of a signal to the interior of a receptor-bearing cell. Ultimately, this signal brings about one or more changes in cellular physiology. Activation of the EPO receptor typically results in the proliferation or differentiation of EPO receptor-bearing cells, such as, but not limited to, erythroid progenitor cells. A number of events are involved in the activation of the EPO receptor, such as, but not limited to, the dimerization of the receptor.

The structural unit of an antibody is a tetramer. Each tetramer is composed of two identical pairs of polypeptide chains, each pair having one "light" (25 kDa) and one "heavy" chain (about 50-70 kDa). The amino-terminal portion of each chain includes a variable region that is primarily responsible for antigen recognition. The carboxy-terminal portion of the chain defines a constant region that is responsible for the effector function of the antibody. Human light chains are classified as kappa and lambda light chains. Heavy chains are classified as mu, delta, gamma, alpha, or epsilon and define the antibody's isotype as IgM, IgD, IgG, IgA, and IgE. IgG immunoglobulins are classified further into four subclasses (IgG1, IgG2, IgG3 and IgG4) having gamma-1, gamma-2, gamma-3 and gamma-4 heavy chains, respectively. Most of the therapeutic human, chimeric or humanized antibodies available are of the IgG1 antibody type including Herceptin for breast cancer, Rituxan for Non-Hodgkins lymphoma and Humira and Remicade for rheumatoid arthritis (See Glennie, M.J. et al., *Drug Discovery Today*, 8:503 (2003).

Within the light and heavy chains, the variable and constant regions are joined by a "J" region with the heavy chain also include a "D" region. The variable regions of each light/heavy chain pair form the antigen binding site. Thereupon, an intact antibody has two binding sites, which, except in bifunctional or bispecific antibodies, are the same. Bifunctional or bispecific antibodies are artificial hybrid antibodies that have two different heavy/light chain pairs and two different binding sites.

Bifunctional or bispecific antibodies can be produced using routine techniques known in the art.

The structure of the chains of an antibody exhibit the same general structure of relatively conserved framework regions (FR) joined by three hyper variable regions, also called complementarity determining regions or CDRs. The CDRs from the two chains of each pair are aligned by the framework regions, enabling binding to a specific epitope. From N-terminal to C-terminal, both the light and heavy chains comprise the domains FR1, CDR1, FR2, CDR2, FR3, CDR3 and FR4.

U.S. Patent 6,319,499 describes antibodies that bind to and activate an erythropoietin receptor (EPO-R). The antibodies specifically identified in this patent are Mabs 71 and 73. Mab 71 binds to a peptide designated "SE-3" having the amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO:1) (See Example 3). SE-3 is located on the human EPO-R between amino acid residues 49-78. According to U.S. Patent 6,319,499, when this region of the EPO-R (i.e. amino acid residues 49-79) is bound with a cross linker such as Mab 71, this results in the activation of the EPO receptor. Example 6 in U.S. Patent 6,319,499 states that Mab 71 binds "significant amounts of peptide SE-3" compared to other peptides tested. This example further states that this "indicates that Mab 71 binds to a region of the human EPO-R containing or overlapping residues 49 to 78." Mabs 71 and 73 are murine antibodies. Although rodent and human antibodies may both provide precision for target specificity, human antibodies interact far more effectively with the natural defenses of the body and do not elicit anti-antibody responses to the same extent as rodent antibodies (Winter, G. and Milstein, C. Nature 349: 293 (1991). Additionally, the flexibility of human IgG subclasses differ (Roux, K.H. et al., J. *Immunol.* 159: 3372 (1997) and this difference also extends to rodent IgG isotypes since rodent IgG isotypes differ from their human counterparts. Since protein flexibility may affect antibody-antigen recognition (Jimenez, R., et al. Proc. Natl. Acad Sci. USA, 100: 92 (2003), human IgG2 isotypes may result in antigen recognition mechanisms distinct from those of murine antibodies. Murine IgG isotypes generally differ from those of humans.

In one embodiment, the present invention relates to an antibody or antibody fragment that binds to the erythropoietin receptor. The antibody or antibody fragment that binds to the erythropoietin receptor comprises at least one heavy chain having an amino acid sequence selected from the group consisting of: SEQ ID NOS: 3, 7, 11,

15, 19, 31, 35, 39, 43, <u>47, 51, 55</u> and fragments thereof. In a second embodiment, the antibody or antibody fragment that binds to the erythropoietin receptor comprises at least one light chain having an amino acid sequence selected from the group consisting of: SEQ ID NOS: 5, 9, 13, 17, 21, <u>23, 25, 27, 29, 33, 37, 41, 45, 49, 53, 57</u> and fragments thereof.

In a third embodiment, the present invention relates to an isolated antibody that is capable of binding a human erythropoietin receptor in a mammal. More specifically, the antibody comprises a heavy chain variable region or a light chain variable region which comprises a continuous sequence from CDR1 through CDR3. The amino acid sequence of the heavy chain variable region comprising the continuous sequence from CDR1 through CDR3 is selected from the group consisting of: SEQ ID NO:58, SEQ ID NO:59, , SEQ ID NO:60 and SEQ ID NO:61, and fragments thereof. The amino acid sequence of the light chain variable region comprising the continuous sequence from CDR1 through CDR3 is selected from the group consisting of: SEQ ID NO:62, SEQ ID NO:63, SEQ ID NO:64, SEQ ID NO:65, SEQ ID NO:66, SEQ ID NO:67, and SEQ ID NO:68, and fragments thereof. In addition, the present invention relates to an isolated antibody which comprises a heavy chain variable region or a light chain variable region which comprises at least one CDR. More specifically, the antibody comprises a heavy chain variable region comprising at least one CDR selected from the group consisting of amino acid residues 99-112 of SEQ ID NO:11, 26-35 of SEQ ID NO:3, 50-65 of SEQ ID NO:3, 98-105 of SEQ ID NO:3, 26-35 of SEQ ID NO:19, 50-66 of SEQ ID NO:19, 99-105 of SEQ ID NO:19, 50-66 of SEQ ID NO:31, 99-105 of SEQ ID NO:31, 26-35 of SEQ ID NO:39, 50-65 of SEQ ID NO:39, 98-105 of SEQ ID NO:39, 26-37 of SEQ ID NO:43, 52-67 of SEQ ID NO:43, 100-107 of SEQ ID NO:43, 26-35 of SEQ ID NO:47, 50-65 of SEQ ID NO:47, 26-35 of SEQ ID NO:51, 50-65 of SEQ ID NO:51, 98-105 of SEQ ID NO:51, 26-37 of SEQ ID NO:55 and 52-67 of SEQ ID NO:55 or a light chain variable region comprising at least one CDR selected from the group consisting of amino acid residues 24-34 of SEQ ID NO:13, 50-56 of SEQ ID NO:13, 89-97 of SEQ ID NO:5, 24-34 of SEQ ID NO:27, 50-56 of SEQ ID NO:9, 24-39 of SEQ ID NO:33, 55-61 of SEQ ID NO:33, 24-34 of SEQ ID NO:41, 89-97 of SEQ ID NO:41, 24-34 of SEQ ID NO:45, 50-56 of SEQ ID NO:45, 89-97 of SEQ ID NO:45, 89-97 of SEQ ID NO:49 and 24-34 of SEQ ID NO:57.

In a fourth embodiment, the present invention relates to an antibody or antibody fragment that binds to and activates the erythropoietin receptor. The antibodies of the present invention bind to at least one epitope that is involved in activating the EPO receptor (Example 4). Unlike other antibodies or fragments known in the art that bind to and activate an erythropoietin receptor, such as the antibodies described in U.S. Patent 6,319,499, the antibodies of the present invention do not interact with the peptide designated SE-3. Surprisingly, the antibodies of the present invention are erythropoietic even though the antibodies do not bind to the SE-3 peptide. Therefore, the human antibodies of the present invention interact with at least one different epitope on the human EPO receptor than the antibodies described in U.S. Patent 6,319,499.

In a fifth embodiment, the present invention relates to an IgG2 antibody or antibody fragment that binds to and activates the erythropoietin receptor. The IgG2 antibodies or antibody fragments of this embodiment bind to and interact with any epitope that is involved in activating the EPO receptor.

Additionally, as demonstrated by the BIAcore results shown in Example 1, the antibodies of the present invention exhibit a binding affinity to the erythropoietin receptor within one hundred fold of the binding affinity of endogenous human erythropoietin to the erythropoietin receptor. A high (~1nM) and low (~1µM) affinity of the EPO receptor for EPO has been reported resulting from two nonequivalent receptor binding sites on EPO (See Philo, J.S. et al., *Biochemistry*, 35:1681 (1996)).

The antibodies of the present invention can be polyclonal antibodies, monoclonal antibodies, chimeric antibodies (See U.S. Patent 6,020,153) or human or humanized antibodies or antibody fragments thereof. Synthetic and genetically engineered variants (See U.S. Patent 6,331,415) of any of the foregoing are also contemplated by the present invention. Preferably, however, the antibodies of the present invention are human or humanized antibodies. The advantage of human or humanized antibodies is that they potentially decrease or eliminate the immunogenicity of the antibody in a host recipient, thereby permitting an increase in

the bioavailability and a reduction in the possibility of adverse immune reaction, thus potentially enabling multiple antibody administrations.

Humanized antibodies include chimeric or CDR-grafted antibodies. Also, human antibodies can be produced using genetically engineered strains of animals in which the antibody gene expression of the animal is suppressed and functionally replaced with human antibody gene expression.

Methods for making humanized and human antibodies are known in the art. One method for making human antibodies employs the use of transgenic animals, such as a transgenic mouse. These transgenic animals contain a substantial portion of the human antibody producing genome inserted into their own genome and the animal's own endogenous antibody production is rendered deficient in the production of antibodies. Methods for making such transgenic animals are known in the art. Such transgenic animals can be made using XenoMouse® technology or by using a "minilocus" approach. Methods for making Xenomice™ are described in U.S. Patent Nos. 6,162,963, 6,150,584, 6,114,598 and 6,075,181. Methods for making transgenic animals using the "minilocus" approach are described in U.S. Patents 5,545,807, 5,545,806 and 5,625,825. Also see International Publication No. WO93/12227.

Using the XenoMouse® technology, human antibodies can be obtained by immunizing a XenoMouse® mouse (Abgenix, Fremont, California) with an antigen of interest. The lymphatic cells (such as B-cells) are recovered from the mice that express antibodies. These recovered cells can be fused with myeloid-type cell line to prepare immortal hybridoma cell lines. These hybridoma cell lines can be screened and selected to identify hybridoma cell lines that produce antibodies specific to the antigen of interest. Alternatively, the antibodies can be expressed in cell lines other than hybridoma cell lines. More specifically, sequences encoding particular antibodies can be cloned from cells producing the antibodies and used for transformation of a suitable mammalian host cell.

Transformation can be by any known method for introducing polynucleotides into a host cell, including, for example, packaging the polynucleotide in a virus or into a viral vector and transducing a host cell with a virus or vector or by transfection

procedures known in the art such as those described in U.S. Patents 4,399,216, 4,912,040, 4,740,461 and 4,959,455. For example, one or more genes encoding the heavy chain can be expressed in a cell and one or more genes encoding the light chain can be expressed in a second cell. The resulting heavy and light chains can then be fused together to form the antibodies of the present invention using techniques known in the art. Alternatively, genes encoding for parts of the heavy and light chains can be ligated using restriction endonucleases to reconstruct the gene coding for each chain. Such a gene can then be expressed in a cell to produce the antibodies of the present invention.

The transformation procedure used will depend upon the host to be transformed. Methods for introducing heterologous polynucleotides into mammalian cells are well known in the art and include dextran-mediated transfection, calcium phosphate precipitation, polybrene mediated transfection, protoplast fusion, electroporation, encapsulation of the polynucleotide(s) into liposomes and direct microinjection of the DNA molecule.

Mammalian cell lines that can be used as hosts for expression are well known in the art and include, but are not limited to, Chinese hamster ovary (CHO) cells, HeLa cells, baby hamster kidney (BHK) cells, monkey kidney cells (COS), human hepatocellular carcinoma cells bacterial cells, such as *E. coli*, yeast cells, such as *Saccharomyces cerevisiae*, etc.

Humanized antibodies can also be made using a CDR-grafted approach. Such humanized antibodies are well known in the art. Generally, humanized antibodies are produced by obtaining nucleic acid sequences that encode the variable heavy and variable light sequences of an antibody that binds to the EPO receptor, identifying the complementary determining region or "CDR" in the variable heavy and variable light sequences and grafting the CDR nucleic acid sequences on to human framework nucleic acid sequences. (See, for example, U.S. Patent Nos. 4,816,567 and 5,225,539).

The human framework that is selected is one that is suitable for *in vivo* administration, meaning that it does not exhibit immunogenicity. For example, such a

determination can be made by prior experience with *in vivo* usage of such antibodies and studies of amino acid similarities.

Methods for cloning nucleic acids are known in the art. These methods involve amplification of the antibody sequences to be cloned using appropriate primers by polymerase chain reaction (PCR). Primers that are suitable for amplifying antibody nucleic acid sequences and specifically murine variable heavy and variable light sequences are known in the art.

Once the CDRs and FRs of the cloned antibody sequences that are to be humanized are identified, the amino acid sequences encoding the CDRs are identified and the corresponding nucleic acid sequences grafted on to selected human FRs. This can be done using known primers and linkers, the selection of which are known in the art.

After the CDRs are grafted onto selected human FRs, the resulting "humanized" variable heavy and variable light sequences are expressed to produce a humanized Fv or humanized antibody that binds to the EPO receptor. Typically, the humanized variable heavy and light sequences are expressed as a fusion protein with human constant domain sequences so an intact antibody that binds to the EPO receptor is obtained. However, a humanized Fv antibody can be produced that does not contain the constant sequences. Fusion of the human constant sequence to the humanized variable region is preferred.

The EPO receptor that is bound by and preferably activated using the antibodies of the present invention is preferably a mammalian EPO receptor, most preferably a human EPO receptor. The present invention also contemplates the use of analogs of the EPO receptor, such as those described in U.S. Patent 5,292,654. Human EPO receptor can be purchased from R & D Systems (Minneapolis, Minnesota).

An example of two (2) antibodies that (1) bind to and activate the EPO receptor; (2) do not interact with a peptide having an amino acid sequence of PGNYSFSYQLEDEPWKLCRLHQAPTARGAV (SEQ ID NO: 1); and (3) exhibit a

binding affinity within one hundred fold of the binding affinity of endogeous human EPO to the EPO receptor, are the human antibodies designated Ab12 and Ab198. Ab12 and Ab198 are human antibodies that were developed using the XenoMouse® XenoMax technology described herein (See Example 1).

In another embodiment, the present invention relates to polynucleotide and polypeptide sequences that encode for the antibodies described herein. Preferably, such polynucleotides encode for both the variable and constant regions of each of the heavy and light chains, although other combinations are also contemplated by the present invention.

The present invention also contemplates oligonucleotide fragments derived from the disclosed polynucleotides and nucleic acid sequences complementary to these polynucleotides. The polynucleotides can be in the form of RNA or DNA. Polynucleotides in the form of DNA, cDNA, genomic DNA, nucleic acid analogs and synthetic DNA are within the scope of the present invention. The DNA may be double-stranded or single-stranded, and if single stranded, may be the coding (sense) strand or non-coding (anti-sense) strand. The coding sequence that encodes the polypeptide may be identical to the coding sequence provided herein or may be a different coding sequence which coding sequence, as a result of the redundancy or degeneracy of the genetic code, encodes the same polypeptide as the DNA provided herein.

Preferably, the polynucleotides encode at least one heavy chain variable region and at least one light chain variable region of the present invention. Examples of such polynucleotides are shown in SEQ ID NOS: 2, 4, 6, 8, 10, 12, 14, 16, 18, 20, 22, 24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44, 46, 48, 50, 52, 54 and 56 as well as fragments, complements and degenerate codon equivalents thereof. For example, SEQ ID NO: 2 encodes for the heavy chain of Ab12 (variable region) and SEQ ID NO:4 encodes for the light chain of Ab12 (variable region). SEQ ID NO:6 encodes for the heavy chain of Ab198 (variable region) and SEQ ID NO: 8 encodes for the light chain of Ab198 (variable region).

The present invention also includes variant polynucleotides containing modifications such as polynucleotide deletions, substitutions or additions, and any polypeptide modification resulting from the variant polynucleotide sequence. A polynucleotide of the present invention may also have a coding sequence that is a naturally occurring variant of the coding sequence provided herein.

It is contemplated that polynucleotides will be considered to hybridize to the sequences provided herein if there is at least 50%, 60%, 70%, 75%, 80%, 85%, 90%, 95% identity between the polynucleotide and the sequence.

The present invention further relates to polypeptides that encode for the antibodies of the present invention as well as fragments, analogs and derivatives of such polypeptides. The polypeptides of the present invention may be recombinant polypeptides, naturally purified polypeptides or synthetic polypeptides. The fragment, derivative or analogs of the polypeptides of the present invention may be one in which one or more of the amino acid residues is substituted with a conserved or non-conserved amino acid residue (preferably a conserved amino acid residue) and such substituted amino acid residue may or may not be one encoded by the genetic code; or it may be one in which one or more of the amino acid residues includes a substituent group; or it may be on in which the polypeptide is fused with another compound, such as a compound to increase the half-life of the polypeptide (for example, polyethylene glycol); or it may be one in which the additional amino acids are fused to the polypeptide, such as a leader or secretory sequence or a sequence that is employed for purification of the polypeptide or a proprotein sequence. Such fragments, derivatives and analogs are within the scope of the present invention.

A polypeptide of the present invention may have an amino acid sequence that is identical to that of the antibodies described herein or that is different by minor variations due to one or more amino acid substitutions. The variation may be a "conservative change" typically in the range of about 1 to 5 amino acids, wherein the substituted amino acid has similar structural or chemical properties, e.g., replacement of leucine with isoleucine or threonine with serine. In contrast, variations may include nonconservative changes, e.g., replacement of a glycine with a tryptophan. Similar minor variations may also include amino acid deletions or insertions or both.

Guidance in determining which and how many amino acid residues may be substituted, inserted, or deleted without changing biological or immunological activity may be found using computer programs well known in the art, for example DNASTAR software (DNASTAR, Inc., Madison, Wisconsin).

Preferably, the polypeptides encode at least one heavy chain variable region or at least one light chain variable region of the antibodies of the present invention.

More preferably, the polypeptides encode at least one heavy chain variable region and one light chain variable region of the antibodies of the present invention. Examples of such polypeptides are those having the amino acid sequences shown in SEQ ID NOS: 3, 5, 7, 9, 11, 13, 15, 17, 19, 21, 23, 25, 27, 29, 31, 33, 35, 37, 39, 41, 43, 45, 46, 47, 49, 51, 53, 55, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, and fragments thereof. Specifically, the heavy chain of Ab12 has the amino acid sequence shown in SEQ ID NO: 3 and the light chain has the amino acid sequence shown in SEQ ID NO:5. The amino acid sequence of the heavy chain of Ab198 is shown in SEQ ID NO:7 and the light chain has the amino acid sequence shown in SEQ ID NO:9.

The present invention also provides vectors that include the polynucleotides of the present invention, host cells which are genetically engineered with vectors of the present invention and the production of the antibodies of the present invention by recombinant techniques.

Host cells are genetically engineered (transfected, transduced or transformed) with vectors, such as, cloning vectors or expression vectors. The vector may be in the form of a plasmid, a viral particle, a phage, etc. The engineered host cells can be cultured in conventional nutrient media modified as appropriate for activating promoters, selecting transfected cells, etc. The culture conditions, such as temperature, pH and the like, are those previously used with the host cell selected for expression, and will be apparent to those of skilled in the art.

The polynucleotides of the present invention can be employed to produce the polypeptides and hence the antibodies of the present invention. The polynucleotide sequences of the present invention can be included in any one of a variety of expression vehicles, in particular, vectors or plasmids for expressing a polypeptide.

Such vectors include chromosomal, nonchromosomal and synthetic DNA sequences, derivatives of SV40, bacterial plasmids, phage DNA, yeast plasmids, vectors derived from combinations of plasmids and phage DNA, viral DNA such as vaccinia, adenovirus, fowl pox virus and pseudorabies. However, any other plasmid or vector may be used so long as it is replicable and viable in the host.

The appropriate DNA sequence may be inserted into the vector by a variety of procedures. In general, the DNA sequence is inserted into appropriate restriction endonuclease sites by procedures known in the art. The polynucleotide sequence in the expression vector is operatively linked to an appropriate expression control sequence (i.e. promoter) to direct mRNA synthesis. Examples of such promoters include, but are not limited to, the LTR or the SV40 promoter, the E. coli lac or trp, the phage lambda P_L promoter and other promoters known to control expression of genes in prokaryotic or eukaryotic cells or their viruses. The expression vector also contains a ribosome binding site for translation initiation and a transcription terminator. The vector may also include appropriate sequences for amplifying expression. For example, the vector can contain enhancers, which are transcriptionstimulating DNA sequences of viral origin, such as those derived form simian virus such as SV40, polyoma virus, bovine papilloma virus or Moloney sarcoma virus, or genomic, origin. The vector preferably also contains an origin of replication. The vector can be constructed to contain an exogenous origin of replication or, such an origin of replication can be derived from SV40 or another viral source, or by the host cell chromosomal replication mechanism.

In addition, the vectors preferably contain a marker gene for selection of transfected host cells such as dihydrofolate reductase or antibiotics, such as G-418 (geneticin, a neomycin-derivative) or hygromycin, or genes which complement a genetic lesion of the host cells such as the absence of thymidine kinase, hypoxanthine phosphoribosyl transferase, dihydrofolate reductase, etc.

Suitable vectors for use in the present invention are known in the art. Any plasmid or vector can be used in the present invention as long as it is replicable and is viable in the host. Examples of vectors that can be used include those that are suitable for mammalian hosts and based on viral replication systems, such as similar virus 40

(SV40), Rous sarcoma virus (RSV), adenovirus 2, bovine papilloma virus (BPV), papovavirus BK mutant (BKV), or mouse and human cytomegalovirus (CVM).

In a further embodiment, the present invention relates to host cells containing the above-described constructs. The host cell can be a higher eukaryotic cell, such as a mammalian cell, or a lower eukaryotic cell, such as a yeast cell, or the host cell can be a prokaryotic cell, such as a bacterial cell. Preferably, the host cells provide a suitable environment for the production of active antibodies, since the biosynthesis of functional tetrameric antibody molecules requires correct nascent polypeptide chain folding, glycosylation, and assembly. Example of suitable host cells, include mammalian cells, such as COS-7 cells, Bowes melanoma cells, Chinese hamster ovary (CHO) cells, embryonic lung cells L-132, and mammalian cells of lymphoid origin, such as myeloma or lymphoma cells. The host cells can be transfected with a vector containing a polynucleotide sequence encoding the H-chain alone, with a second vector encoding the light chain alone (such as by using two different vectors as discussed previously). Preferably, the host cells are transfected with two different vectors.

Introduction of the vectors into the host cell can be effected by calcium phosphate transfection, DEAE-Dextran mediated transfection or electroporation (L. David et al., *Basic Methods in Molecular Biology* 2nd Edition, Appleton and Lang, Paramount Publishing, East Norwalk, Conn. (1994)).

In order to obtain the antibodies of the present invention, one or more polynucleotide sequences that encode for the light and heavy chain variable regions and light and heavy chain constant regions of the antibodies of the present invention should be incorporated into a vector. Polynucleotide sequences encoding the light and heavy chains of the antibodies of the present invention can be incorporated into one or multiple vectors and then incorporated into the host cells.

Cell lines expressing Ab12 and Ab467 antibodies were deposited with the American Type Culture Collection (ATCC), 10801 University Boulevard, Manassas, Virginia 20110, under the terms of the Budapest Treaty, on September 30, 2003 and were accorded accession numbers PTA-5554 and PTA-5555. These deposits are

provided for the convenience of those skilled in the art and are neither an admission that such deposits are required to practice the invention nor that equivalent embodiments are not within the skill of the art in view of the present disclosure. The public availability of these deposits is not a grant of a license to make, use or sell the deposited materials under this or any other patents. The nucleic acid sequences of the deposited materials are incorporated in the present disclosure by reference and are controlling if in conflict with any sequence described herein.

The antibodies of the present invention have a number of uses. In general, the antibodies may be used to treat any condition treatable by erythropoietin or a biologically active variant or analog thereof. For example, antibodies of the invention are useful for treating disorders characterized by low red blood cell levels and/or decreased hemoglobin levels (e.g. anemia). In addition, the antibodies of the invention may be used for treating disorders characterized by decreased or subnormal levels of oxygen in the blood or tissue, such as, for example, hypoxemia or chronic tissue hypoxia and/or diseases characterized by inadequate blood circulation or reduced blood flow. Antibodies of the invention also may be useful in promoting wound healing or for protecting against neural cell and/or tissue damage, resulting from brain/spinal cord injury, stroke and the like. Non-limiting examples of conditions that may be treatable by the antibodies of the invention include anemia, such as chemotherapy-induced anemia, cancer associated anemia, anemia of chronic disease, HIV-associated anemia, bone marrow transplant-associated anemia and the like, heart failure, ischemic heart disease and renal failure. As such, the invention includes methods of treating any of the aforementioned diseases or conditions comprising the step of administering to a mammal a therapeutically effective amount of said antibody. Preferably, the mammal is a human.

The antibodies of the present invention <u>also</u> can be used to identify and diagnose mammals that have a dysfunctional EPO receptor. Mammals that have a dysfunctional EPO receptor are characterized by disorders such as anemia. Preferably, the mammal being identified and diagnosed is a human. Additionally, the antibodies of the present invention can be used in the treatment of anemia in mammals suffering from red blood cell aplasia. Red blood cell aplasia may result

from the formation of neutralizing anti-erythropoietin antibodies in patients during treatment with recombinant erythropoietin (Casadevall, N. et al., n. Eng. J. Med. 346: 469 (2002)). The method involves the step of administering to a mammal suffering from said aplasia and in need of treatment a therapeutically effective amount of the antibodies of the present invention.

In another embodiment of the invention, the EPO receptor antibodies and antibody fragments of the invention also can be used to detect EPO receptor (e.g., in a biological sample, such as tissue specimens, intact cells, or extracts thereof), using a conventional immunoassay, such as an enzyme linked immunosorbent assay (ELISA), a radioimmunoassay (RIA) or tissue immunohistochemistry. The invention provides a method for detecting EPO receptor in a biological sample comprising contacting a biological sample with an antibody or antibody fragment of the invention and detecting either the antibody (or antibody portion), to thereby detect EPO receptor in the biological sample. The antibody or antibody fragment is directly or indirectly labeled with a detectable substance to facilitate detection of the bound or unbound antibody or antibody fragment. A variety of immunoassay formats may be practiced (such as competitive assays, direct or indirect sandwich immunoassays and the like) and are well known to those of ordinary skill in the art.

Suitable detectable substances include various enzymes, prosthetic groups, fluorescent materials, luminescent materials and radioactive materials. Examples of suitable enzymes include horseradish peroxidase, alkaline phosphatase, B-galactosidase, or acetylcholinesterase; examples of suitable prosthetic group complexes include streptavidin/biotin and avidin/biotin; examples of suitable fluorescent materials include umbelliferone, fluorescein, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine, dansyl chloride or phycoerythrin; and an example of a luminescent material includes luminol; and examples of suitable radioactive material include ¹²⁵I, ¹³¹I, ³⁵S. or ³H.

In yet another embodiment, the present invention relates to a pharmaceutical composition containing a therapeutically effective amount of the antibody of the present invention along with a <u>pharmaceutically acceptable</u> carrier or excipient. <u>As used herein</u>, "pharmaceutically acceptable carrier" or "pharmaceutically acceptable

excipient" includes any and all solvents, dispersion media, coating, antibacterial and antifungal agents, isotonic and absorption delaying agents, and the like that are physiologically compatible. Examples of pharmaceutically acceptable carriers or excipients include one or more of water, saline, phosphate buffered saline, dextrose, glycerol, ethanol and the like as well as combinations thereof. In many cases, it will be preferable to include isotonic agents, for example, sugars, polyalcohols such as mannitol, sorbitol, or sodium chloride in the composition. Pharmaceutically acceptable substances such as wetting or minor amounts of auxiliary substances such as wetting or emulsifying agents, preservatives or buffers, which enhance the shelf life or effectiveness of the of the antibody or antibody portion also may be included. Optionally, disintegrating agents can be included, such as cross-linked polyvinyl pyrrolidone, agar, alginic acid or a salt thereof, such as sodium alginate and the like. In addition to the excipients, the pharmaceutical composition can include one or more of the following, carrier proteins such as serum albumin, buffers, binding agents, sweeteners and other flavoring agents; coloring agents and polyethylene glycol.

The compositions of this invention may be in a variety of forms. They include, for example, liquid, semi-solid and solid dosage forms, such as liquid solutions (e.g. injectable and infusible solutions), dispersions or suspensions, tablets, pills, powders, liposomes and suppositories. The preferred form depends on the intended mode of administration and therapeutic application. Typical preferred compositions are in the form of injectable or infusible solutions, such as compositions similar to those used for passive immunization of humans with other antibodies. The preferred mode of administration is parenteral (e.g., intravenous, subcutaneous, intraperitoneal, intramuscular). In a preferred embodiment, the antibody is administered by intravenous infusion or injection. In another preferred embodiment, the antibody or antibody fragment is administered by intramuscular or subcutaneous injection.

Other suitable routes of administration for the pharmaceutical composition include, but are not limited to, rectal, transdermal, vaginal, transmucosal or intestinal administration.

Therapeutic compositions typically must be sterile and stable under the conditions of manufacture and storage. The composition can be formulated as a

solution, microemulsion, dispersion, liposome, or other ordered structure suitable to high drug concentration. Sterile injectable solutions can be prepared by incorporating the active compound (i.e. antibody or antibody fragment) in the required amount in an appropriate solvent with one or a combination of ingredients enumerated above, as required, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the active compound into a sterile vehicle that contains a basic dispersion medium and the required other ingredients from those enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation are vacuum drying and freeze-drying that yields a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered solution thereof. The proper fluidity of a solution can be maintained, for example, by the use of a coating such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. Prolonged absorption of injectable compositions can be brought about by including in the composition an agent that delays absorption, for example, monostearate salts and gelatin.

The antibodies and antibody fragments of the invention can be administered by a variety of methods known in the art, although for many therapeutic applications, the preferred route/mode of administration is intravenous injection or infusion. As will be appreciated by the skilled artisan, the route and/or mode of administration will vary depending upon the desired results. In certain embodiments, the active compound may be prepared with a carrier that will protect the compound against rapid release, such as a controlled release formulation, including implants, transdermal patches, and microencapsulated delivery systems. Biodegradable, biocompatible polymers can be used, such as ethylene vinyl acetate, polyanhydrides, polyglycolic acid, collagen, polyorthoesters, and polylactic acid. Many methods for the preparation of such formulations are patented or generally known to those skilledin the art. See, e.g. Sustained and Controlled Release Drug Delivery Systems, J. R. Robinson, ed., Marcel Dekker, Inc., New York, 1978).

In certain embodiments, an antibody or antibody portion of the invention may be orally administered, for example, with an inert diluent or an assimilable edible carrier. The compound (and other ingredients if desired) may also be enclosed in a

hard or soft shell gelatin capsule, compressed into tablets, buccal tablets, troches, capsules, elixiers, suspensions, syrups, wafers, and the like. To administer an antibody or antibody fragment of the invention by other than parenteral administration, it may be necessary to coat the compound with, or co-administer the compound with, a material to prevent its inactivation.

Supplementary active compounds also can be incorporated into the compositions. In certain embodiments, an antibody or antibody fragment of the invention is coformulated with and/or coadministered with one or more additional therapeutic agents. For example, an EPO receptor antibody or antibody fragment of the invention may be coformulated and/or coadministerd with one or more additional antibodies that bind other targets (e.g., antibodies that bind other cytokines or that bind cell surface molecules) or one or more cytokines. Furthermore, one or more antibodies of the invention may be used in combination with two or more of the foregoing therapeutic agents. Such combination therapies may advantageously utilize lower dosages of the administered therapeutic agents, thus avoiding possible toxicities or complications associated with the various monotherapies.

As used herein, the term "therapeutically effective amount" means an amount of antibody or antibody fragment that produces the effects for which it is administered. The exact dose will be ascertainable by one skilled in the art. As known in the art, adjustments based on age, body weight, sex, diet, time of administration, drug interaction and severity of condition may be necessary and will be ascertainable with routine experimentation by those skilled in the art. A therapeutically effective amount is also one in which the therapeutically beneficial effects outweigh any toxic or detrimental effects of the antibody or antibody fragment. A "prophylactically effective amount" refers to an amount effective, at dosages and for periods of time necessary to achieve the desired prophylactic result. Typically, since a prophylactic dose is used in subjects prior to or at an earlier stage of disease, the prophylactically effective amount will be less than the therapeutically effective amount.

Dosage regimens may be adjusted to provide the optimum desired response (e.g., a therapeutic or prophylactic response). For example, a single bolus may be

administered, several divided doses may be administered over time or the dose may be proportionally reduced or increased as indicated by the exigencies of the therapeutic situation. It is especially advantageous to formulate parenteral compositions in dosage unit form for ease of administration and uniformity of dosage. Dosage unit form as used herein refers to physically discrete units suited as unitary dosages for the mammalian subjects to be tested; each unit containing a predetermined quantity of active compound calculated to produce the desired therapeutic effect in association with the required pharmaceutical carrier. The specification for the dosage unit forms of the invention are dictated by and directly dependent on (a) the unique characteristics of the active compound and the particular therapeutic or prophylactic effect to be achieved and (b) the limitations inherent in the art of compounding such an active compound for the treatment of sensitivity in individuals.

An exemplary, non-limiting range for a therapeutically or prophylactically effective amount of an antibody or antibody portion of the invention is 0.1-20 mg/kg, more preferably 1-10 mg/kg. It is to be noted that dosage values may vary with the type and severity of the condition to be alleviated. It is to be further understood that for any particular subject, specific dosage regimens should be adjusted over time according to the individual need and the professional judgment of the person administering or supervising the administration of the compositions, and that dosage ranges set forth herein are exemplary only and are not intended to limit the scope or practice of the claimed composition.

By way of example, and not of limitation, examples of the present invention shall now be given.

EXAMPLE 1: Generation of human Erythropoietin Receptor antibodies

Antigen Preparation. The antigen used for immunization of XenoMouse® animals was coupled to a universal T-cell epitope (TCE) (J. Immunol., 148(5):1499 (1992)) using two different methods. A mixture containing an equal amount of each was used as the immunogen.

- 1) 2.3 mg of Dithiothreitol (DTT), and 200 mcg of cysteine coupled TCE (*J.Immunol.*, 148(5):1499 (1992)) are mixed at room temperature for 30 minutes. DTT is removed by centrifugation through a Sephadex G10 (Pharmacia, Upsala, Sweden) chromatography column. The reduced cysteine coupled TCE is added to 200 mcg soluble extracellular domain of human EpoR (R&D Systems, Minneapolis, MN) re-suspended in Phosphate Buffered Saline (PBS) (8.1 mM Na₂HPO₄, 1.6 mM NaH₂PO₄, 136 mM NaCl, 2.6 mM KCl, pH 7.4) and 33 mcg of Sulfosuccinimidyl 4-(N-maleimidomethyl)-cyclohexane-1-carboxylate (sulfo SMCC), and mixed 4^oC over night. Un-reacted EpoR was removed by centrifugation through a 10KDa cut off Centricon column (Millipore, Bedford, MA).
- 2) The soluble extracellular domain (200 mcg) of human EpoR (R&D Systems, Minneapolis, MN) was re-suspended in PBS and mixed with 4 mcg of TCE-BPA (p-Benzoyl Phenylalanine) and incubated under UV light (362 nM) at room temperature for 45 minutes. The un-reacted EpoR was removed by centrifugation through a 10KDa cut off Centricon column (Millipore, Bedford, MA).

Immunization of animals. Monoclonal antibodies of the invention, including Ab12 and Ab198 (also referred to herein as AB-ABT2-XG2-012 and AB-ABT2-XG2-198, respectively) were developed by immunizing XenoMouse® mice (XenoMouse® XG2, Abgenix, Inc., Fremont, CA and Vancouver, BC) with soluble EpoR coupled to a TCE as described above. The initial immunization was with 20 mcg of antigen and mixed 1:1 v/v with Complete Freund's Adjuvant (CFA) (Sigma, St Louis, MO) per mouse. The subsequent immunizations were with 20 mcg of antigen mixed 1:1 v/v with incomplete Freund's (IFA). In particular, each animal was immunized at the base of tail and by intraperitoneal injection on days 0, 14, 28 and 42.

Biotinylation of EpoR. 300 mcg of EpoR (Abbott CHO cell derived ref.# RB69084:4) was re-suspended in 990 mcL of PBS pH 8.6 and added to 100 mcg of biotin-NHS (Pierce, Rockford, Ill) dissolved in DMSO (Dimethyl Sulfoxide) incubated for forty minutes at room temperature (RT). Free biotin and buffer was removed by centrifugation through a 5kDa Centricon column with several washes

with PBS pH 7.4 and re-suspended in an appropriate volume to a final concentration was 600 mcg/mL.

Selection of animals for harvest. Anti-EpoR antibody titers were determined by ELISA. 0.7 mcg/ml biotin EpoR (described above) was coated onto streptavadin plates (Sigma, St Louis, MO) at room teperature for 1 hour. The solution containing unbound biotin EpoR was removed and all plates were washed five times with dH2O. XenoMouse® sera from the EpoR immunized animals, or naïve XenMouse® animals, were titrated in 2%milk/PBS at a 1:2 dilution in duplicate from a 1:100 initial dilution. The last well was left blank, and plates were washed five times with dH₂O. A goat anti-human IgG Fc-specific horseradish peroxidase (HRP)(Pierce, Rockford, IL) conjugated antibody was added at a final concentration of 1 mcg/mL for 1 hour at room temperature. The plates were washed five times with dH₂O. The plates were developed with the addition of TMB chromogenic substrate (KPL, Gaithersburg, MD) for 30 minutes and the ELISA was stopped by the addition of 1 M phosphoric acid. The specific titers obtained from XenoMouse® animals were determined from the optical density at 450 nm and are shown in Table 1. The titer represents the reciprocal dilution of the serum and therefore the higher the number the greater the humoral immune response to EpoR.

Table 1

Mouse I.D.	<u>Titer</u>
11	1600
12	12800
13	51200
14	102400
15	102400
16	0
17	102400
18	3200
19	102400
20	2560

XenoMouse® animal 14 was selected for harvest based on the serology data in Table 1.

Culture and selection of B cells. B cells from the harvested animals were cultured and those secreting EpoR-specific antibodies were isolated essentially as described in Babcook et al., *Proc. Natl. Acad. Sci. USA*, 93:7843-7848 (1996). ELISA, performed as described above for sera titers, was used to identify EpoR-specific wells. Fifty plates cultured at 500 cells/well were screened on biotin EpoR to identify the antigen-specific wells. The data as shown in Table 2 demonstrated the presence of 701 wells with ODs significantly over background (0.05).

Table 2

Optical Density	Number of Positives
0.1	701
0.2	273
0.3	163
0.4	130
0.5	102
0.6	91
0.7	76
0.8	70
0.9	67
1.0	65
2.0	25
3.0	7

These data indicated a very low frequency of hits and indicated that the wells were monoclonal for antigen-specificity. These 701 positive wells were re-screened on biotin EpoR and 137 wells (shown in bold in Table 3 below) were found to repeat as real antigen-specific wells with ODs significantly over background (0.05).

Table 3

Optical Density	Number of Positives
0.1	207
0.15	137
0.2	110
0.3	94
0.4	85
0.5	79
0.6	71
0.7	63
0.8	57
0.9	53
1.0	50
2.0	32
3.0	13

Agonist activity assay. Proliferation of an Epo responsive cell line was used as the basis for the agonist screen. These 137 wells were then screened for agonist activity using the human erythroleukemia cell line UT-7/Epo (Abbott ref#.RB29454-174). 12.5 mcL of supernatant were added to 1 x 105 cells per well in RPMI 1640 (10% FCS) to a final volume of 50 mcL in a half-area 96 well plate. The well size is half the area of a typical 96 well plate. Proliferation was identified visually and compared to cells in media containing a titration of human Epo or no Epo as a base line control. Eleven wells with proliferation activity were identified.

<u>EpoR-specific Hemolytic Plaque Assay.</u> A number of specialized reagents are needed to conduct the assay. These reagents were prepared as follows.

<u>Biotinylation of Sheep red blood cells (SRBC)</u>: SRBC are stored in RPMI media as a 25% stock. A 250ul SRBC packed-cell pellet was obtained by aliquoting 1.0 ml of the stock into a 15-ml falcon tube, spinning down the cells and removing the supernatant. The cell pellet was then re-suspended in 4.75 ml PBS at pH 8.6 in a 50

ml tube. In a separate 50ml tube, 2.5 mg of Sulfo-NHS biotin was added to 45 ml of PBS at pH 8.6. Once the biotin had completely dissolved, 5 ml of SRBCs were added and the tube rotated at RT for 1 hour. The SRBCs were centrifuged at 3000g for 5 min, the supernatant drawn off and 25 mls PBS at pH 7.4 as a wash. The wash cycle was repeated 3 times, then 4.75 ml immune cell media (RPMI 1640 with 10% FCS) was added to the 250 ul biotinylated-SRBC (B-SRBC) pellet to gently re-suspend the B-SRBC (5% B-SRBC stock). Stock was stored at 4° C until needed.

Streptavidin (SA) coating of B-SRBC: One ml of the 5% B-SRBC stock was transferred into to a fresh eppendorf tube. The B-SRBC cells were pelleted with a pulse spin at 8000 rpm (6800 rcf) in a microfuge, the supernatant drawn off, the pellet re-suspended in 1.0 ml PBS at pH 7.4, and the centrifugation repeated. The wash cycle was repeated 2 times, then the B-SRBC pellet was resuspended in 1.0 ml of PBS at pH 7.4 to give a final concentration of 5% (v/v). 10 ul of a 10mg/ml streptavidin (CalBiochem, San Diego, CA) stock solution was added and the tube mixed and rotated at RT for 20min. The washing steps were repeated and the SA-SRBC were re-suspended in 1ml PBS pH 7.4 (5% (v/v)).

EpoR coating of SA-SRBC: The SA-SRBC were coated with biotinylated EpoR at 10ug/ml, the mixed and rotated at RT for 20 min. The SRBC were washed twice with 1.0 ml of PBS at pH 7.4 as above. The EpoR-coated SRBC were resuspended in RPMI (+10%FCS) to a final concentration of 5% (v/v).

Determination of the quality of EpoR-SRBC by immunofluorescence (IF): 10 ul of 5% SA-SRBC and 10 ul of 5% PTH-coated SRBC were each added to separate fresh 1.5 ml eppendorf tube containing 40ul of PBS. The murine anti-EpoR antibody (R&D Systems Cat.# MAB307) was added to each sample of SRBCs at 20ug/ml. The tubes were rotated at RT for 25 min, and the cells were then washed three times with 100ul of PBS. The cells were re-suspended in 50ul of PBS and incubated with 40 mcg/mL Gt-anti mouse IgG Fc antibody conjugated to Alexa488 (Molecular Probes, Eugene, OR). The tubes were rotated at RT for 25 min, and then washed with 100ul PBS and the cells re-suspended in 10 ul PBS. 10ul of the stained cells were spotted

onto a clean glass microscope slide, covered with a glass coverslip, observed under fluorescent light, and scored on an arbitrary scale of 0-4.

<u>Preparation of plasma cells</u>: The contents of a single microculture well identified by the previous assays as containing a B cell clone secreting the immunoglobulin of interest were harvested. Using a 100-1000 ul pipettman, the contents of the well were recovered by adding 37C RPMI (+10% FCS). The cells were re-suspended by pipetting and then transfered to a fresh 1.5 ml eppendorf tube (final vol. approx 500-700ul). The cells were centrifuged in a microfuge at 1500 rpm (240 rcf) for 2 minutes at room temperature, then the tube rotated 180 degrees and spun again for 2 minutes at 1500 rpm. The freeze media was drawn off and the immune cells resuspended in 100 ul RPMI (10% FCS), then centrifuged. This washing with RPMI (10% FCS) was repeated and the cells re-suspended in 60ul RPMI (FCS) and stored on ice until ready to use.

<u>Plaque assay</u>: Glass slides (2 x 3 inch) were prepared in advance with silicone edges and allowed to cure overnight at RT. Before use the slides were treated with approx. 5ul of SigmaCoat (Sigma, Oakville, ON) wiped evenly over glass surface, allowed to dry and then wiped vigorously. To a 60ul sample of cells was added 60ul each of EpoR-coated SRBC (5% v/v stock), 4x guina pig complement (Sigma, Oakville, ON) stock prepared in RPMI with 10% FCS, and 4x enhancing sera stock (1:900 in RPMI with 10% FCS). The mixture (3 - 5ul) was spotted onto the prepared slides and the spots covered with undiluted paraffin oil. The slides were incubated at 37° C for a minimum of 45 minutes.

Plaque assay results: The coating was determined qualitatively by immunofluorescent microscopy to be very high (4/4) using MAB307 to detect coating compared to a secondary detection reagent alone (0/4). There was no signal detected using the MAB307 antibody on red blood cells that were only coated with streptavidin (0/4). These red blood cells were then used to identify antigen-specific plasma cells from the fourteen wells identified in Table 4. After micromanipulation to rescue the antigen-specific plasma cells, the genes encoding the variable region genes were rescued by RT-PCR on a single plasma cell.

Table 4

Plate ID	Single Cell numbers	
11G10	ABT2-SCX-251-260	
21D1	ABT2-SCX-54	
25C3	ABT2-SCX-134-144	
29G8	ABT2-SCX-1-11	
33G8	ABT2-SCX-12-18	
37A11	ABT2-SCX-19-44	
43H12	ABT2-SCX-185-201,233-239	
16F7	ABT2-SCX-267-278	
24C3	ABT2-SCX-55-77	
24F8	ABT2-SCX-82-102	
34D4	ABT2-SCX-145-168	

Expression. After isolation of the single plasma cells, mRNA was extracted and reverse transcriptase PCR was conducted to generate cDNA. encoding the variable heavy and light chains was specifically amplified using polymerase chain reaction. The variable heavy chain region was cloned into an IgG2 expression vector. This vector was generated by cloning the constant domain of human IgG2 into the multiple cloning site of pcDNA3.1+/Hygro (Invitrogen, Burlington, ON). The variable light chain region was cloned into an IgK expression vector. This vector was generated by cloning the constant domain of human IgK into the multiple cloning site of pcDNA3.1+/Neo (Invitrogen, Burlington, ON). The appropriate pairs of heavy chain and the light chain expression vectors were then colipofected into a 60 mm dish of 70% confluent human embryonal kidney 293 cells and the transfected cells were left to secrete a recombinant antibody for 24 hours. The supernatant (3 mL) was harvested from the HEK 293 cells and the secretion of an intact antibody (AB-ABT2-XG2-012 and AB-ABT2-XG2-198) was demonstrated with a sandwich ELISA to specifically detect human IgG (Table 5, fourth column). The specificity of AB-ABT2-XG2-012 and AB-ABT2-XG2-198 was assessed

through binding of the recombinant antibody to biotinylated EpoR using ELISA (Table 5, fifth column).

Table 5

Well ID	Single cell number	Secretion	Binding
11G10	ABT2-SCX-254	1:4	1:8
21D1	ABT2-SCX-054	>1:64	>1:64
25C3	ABT2-SCX-135	1:4	1:4
29G8	ABT2-SCX-003	>1:64	>1:64
33G8	ABT2-SCX-012	>1:64	>1:64
37A11	ABT2-SCX-022	>1:64	>1:64
43H12	ABT2-SCX-198	>1:64	>1:64
16F7	ABT2-SCX-267	>1:64	>1:64
24C3	ABT2-SCX-060	>1:64	>1:64
24F8	ABT2-SCX-102	>1:64	>1:64
34D4	ABT2-SCX-145	>1:64	>1:64

The ELISA for antigen specific antibody secretion was performed as follows. Control plates were coated with 2mg/mL Goat anti-human IgG H+L O/N. For the binding plates, biotin-EpoR (0.7 mcg/mL) was coated onto streptavadin 96 well plates (Sigma, St Louis, MO) for one hour at room temperature. The plates were washed five times with dH₂O. Recombinant antibodies were titrated 1:2 for 7 wells from the undiluted minilipofection supernatant. The plates were washed five times with dH₂O. A goat anti-human IgG Fc-specific HRP-conjugated antibody was added at a final concentration of 1 ug/mL for 1 hour at RT for the secretion and the binding ELISA. The plates were washed five times with dH₂O. The plates were developed with the addition of TMB chromogenic substrate (KPL, Gaithersburg, MD) for 30 minutes and the ELISA was stopped by the addition of 1 M phosphoric acid. Each ELISA plate was analyzed to determine the optical density of each well at 450 nm.

<u>Purification of AB-ABT2-XG2-012 and AB-ABT2-XG2-198.</u> For larger scale production, the heavy and light chain expression vectors (2.5 ug of each

chain/dish) were lipofected into ten 100 mm dishes that were 70% confluent with HEK 293 cells. The transfected cells were incubated at 37°C for 4 days, the supernatant (6 mL) was harvested and replaced with 6 mL of fresh media. At day 7, the supernatant was removed and pooled with the initial harvest (120 mL total from 10 plates). The ABT2-XG2-012 and ABT2-XG2-198 antibody were purified from the supernatant using a Protein-A Sepharose (Amersham Biosciences, Piscataway, NJ) affinity chromatography (1 mL). The antibody was eluted from the Protein-A column with 500 mcL of 0.1 M Glycine pH 2.5. The eluate was dialysed in PBS pH 7.4 and filter sterilized. The antibody was analyzed by non-reducing SDS-PAGE to assess purity and yield.

Agonist activity of recombinant antibodies. The ability of these recombinant antibodies to stimulate the proliferation of Epo responsive cells was examined using the UT-7/Epo cells with proliferation quantitated by MTS reagent (Promega, Madison, WI) measured at 490 nm as described in the Agonist Activity Assay above. ABT2-SCX-012 and ABT2-SCX-198 induced proliferation in comparison to cells in media without antibody and are shown below (Fig.14 and 15 respectively).

Effect of anti-Human Fc. It is possible that the agonist activity of ABT2-SCX-012 and ABT2-SCX-198 are due to self-aggregation. In order to address this issue we induced aggregation by the addition of an anti-human Fc secondary antibody and the effect on the agonist activity of ABT2-SCX-012 and ABT2-SCX-198 was determined using the UT-7/Epo cells. As shown below the addition of a secondary antibody had no effect on the activity of ABT2-SCX-198 (Fig. 16) and inhibited the activity of ABT2-SCX-012 (Fig. 4 17).

Since the addition of secondary Ab inhibited the activity of ABT2-SCX-012 we concluded that aggregation of this antibody interferes with it's activity and thus it is unlikely that ABT2-SCX-012 has agonist activity due to aggregation. However, the results of ABT2-SCX-198 are more difficult to interpret. The lack of an effect could suggest that ABT2-SCX-198 is fully aggregated and thus the addition of secondary Ab has no further effects on its activity. Alternatively, the lack of effect suggests the

activity of ABT2-SCX-198 is not perturbed by the conformational restrictions applied by a secondary antibody.

Sequence analysis of ABT2-SCX-012 and ABT2-SCX-198 The variable heavy chains and the variable light chains for antibodies ABT2-SCX-012 and ABT2-SCX-198 were sequenced to determine their DNA sequences. The complete sequence information for the anti-EpoR antibodies shown in Figures 1, 2, and 18-30 with nucleotide and amino acid sequences for each variable region of the heavy chain gamma and kappa light chains. Figures 1 and 2 provide full-length sequences, including the constant regions.

The variable heavy sequences were analyzed to determine the VH family, the D-region sequence and the J-region sequence. The sequences were then translated to determine the primary amino acid sequence (Fig.29) and compared to the germline VH, D and J-region sequences to assess somatic hypermutations. The primary amino acid sequences of all the anti-EpoR antibody gamma chains are shown in Figure 16. The germline sequences are shown above and the mutations are indicated with the new amino acid sequence. Unaltered amino acids are indicated with a dash (-). The light chain was analyzed similarly to determine the V and the J-regions and to identify any somatic mutations from germline kappa sequences (Figure 30). The heavy chain of ABT2-SCX-012 was shown to utilize the VH 4-59 (DP-71), DIR4rc and the JH4a gene segments, while the light chain was shown to use the VkI (A30) and the Jk1 gene segments. The heavy chain of ABT2-SCX-198 was shown to utilize the VH 3-30 (V3-30), D4-23 and the JH6b gene segments, while the light chain was shown to use the VkI (L5) and the Jk3 gene segments.

EXAMPLE 2: Competition of Ab12 with ¹²⁵I-Labeled EPO for Binding CHO Cells Expressing Recombinant EPO Receptor

CHO cells expressing the full length recombinant human EPO receptor were plated at 5×10^5 cells/well in 24 well plates 72 hours prior to the assay. On the day of the assay, 95ul of Ab12, Ab198, or EPO at indicated concentrations (shown in Figure 5) diluted in RPMI 1640, 0.5% BSA, 1mM Na N₃ and 5 ul (6ng) of ¹²⁵I-EPO (Amersham Cat. #IM178, Arlington Heights,IL 486ci/mM) were added to the wells. After incubating at 37°C for 1.5 hours, the wells were washed three times with cold

HBSS and harvested using 0.5ml 0.1N NaOH. Samples were counted in a Micromedic ME Plus gamma counter. The results are shown in Figure 5. Specifically, the results show that Abs 12 and 198 competed with EPO for binding to the erythropoietin receptor.

EXAMPLE 3: Biacore Studies

The studies described below were performed on a Biacore 2000 utilizing the Biacontrol software version 3.1. (Biacore, Uppsala, Sweden). Binding analyses were performed with antibody immobilized directly to the chip surface and followed by injection of varying receptor concentrations.

Immobilization of Antibody

Immobilizations of antibody were performed using the default immobilization program in the Biacore software package. Antibodies were diluted to 10 ug/mL in the supplied acetate buffers to prescreen for the appropriate pH at which to conduct the immobilizations. For immobilizations, antibodies were diluted into the appropriate acetate buffer (10 mM acetate pH 4.0) and coupled directly to the chip surface using standard EDC chemistry at three different protein levels (500, 1000, and 1500 RU). The fourth flow cell was mock coupled with EDC to cap the carboxyl groups and provide a background surface as a negative control.

Binding studies

Binding studies were performed by successive injections of varying concentrations of soluble human EPO receptor over the chip surface (500 RU immobilized protein). Binding analyses were performed in the supplied HBS-EP buffer [HBS buffer – 10 mM HEPES pH = 7.4, 150 mM NaCl, 3 mM EDTA, 0.005% Polysorbate 20 (v/v), Biacore] using receptor diluted to the desired concentrations (10 – 200 nM) using the running buffer (HBS-EP). Experiments were performed at a flow rate of 30 uL/min. The receptor was injected over a period of 3 minutes followed by a 15 minute dissociation period. Simultaneous injections over the flow cell created as a negative control were also performed. All injections were performed in triplicate.

Model fitting

Data were fit to the models available in the BiaEvaluation 3.0.2 software package (Biacore). The data points from the experimental injections were corrected by subtraction of data points from simultaneous over the negative control surface. The corrected data were used to fit to the 1:1 (Langmuir) binding model as well as the bivalent analyte model available in the BiaEvaluation software package. Dissociation constants were calculated directly from fitting to the Langmuir binding model. For the bivalent analyte model, the dissociation constants were calculated indirectly using the calculated values for the kinetic dissociation and kinetic association constants, k_d and k_a .

Table 6

Antibody	kD
Ab 12	17.5 nM
Ab 198	13.9 nM

EXAMPLE 4: EPO Dependent Human Cell Proliferation Assay

Stock cultures of the human erythroleukemic cell line, F36E cells were maintained in RPMI 1640 media with 10% fetal bovine serum and 1 unit per mL of recombinant human erythropoietin. Prior to assays, cells were cultured overnight at a density of 4.0 to 5.0 x 10⁵ cells per mL in growth medium without EPO. Cells were recovered, washed and resuspended at a density of 1.0 x 10⁶ cells per mL in assay medium (RPMI 1640 + 10% FBS) and 50 uL of cells added to wells of a 96 well microtiter plate. 50 uL of each of Ab12, Ab 390, Ab 412, Ab 467, Ab 484, Ab 430/432 and Ab198 or EPO standards (recombinant human EPO (rHuEPO)) in assay medium were added to wells and the plates were incubated in a humidified incubator at 37°C with a 5% CO₂ atmosphere. After 72 hours, 20 µL of Promega Cell Titer 96 Aqueous® reagent (as prepared per manufacturer's instructions, Madison, Wisconin) was added to all wells. Plates were incubated at 37°C with a 5% CO₂ atmosphere for 4 hours and the optical density at 490 nm was determined using a microplate reader (Wallac Victor 1420 Multilabel Counter, Wallac Company, Boston, MA). The results are shown in Figure 6. All Abs stimulated proliferation of the F36E cell line.

Maximal proliferative activity was similar to that observed with the EPO control and shown by a bell shaped curve as concentration increased. The results in Figure 7 demonstrate that Ab12, after storage at 4°C for up to 20 days, is active in inducing the proliferation of F36E cells. Proliferative activity was similar to that observed with the EPO control with the maximal response differing about ten-fold on a molar equivalent basis

EXAMPLE 5: Human CD36+ CFUe Assay

Frozen human CD36+ erythroid progenitor cells obtained from Poietics (Biowhittaker (Walkersville, MD)) were thawed and 10⁴ cells/ml in IMDM-2%FBS. Cells (0.3 ml) were added to 0.3 ml tubes containing 2.4 ml Methocult (StemCell Technologies, Vancouver, Canada) Cat. #04230), 0.3 ml stem cell growth factor (Sigma, St. Louis, Missouri Cat. #S7901, 100ug/ml), and 0.3 ml EPO (R&D Systems), Ab 12, or IMDM-2% FBS. After mixing, 1.1 ml of the Methocult suspension was added to a 35 mm non tissue culture treated sterile petri dish and incubated at 37°C, 5% CO₂ for 2 weeks. Colonies were identified microscopically. The results are shown in Figure 8. Specifically, Ab12 induced the formation of CFU-E colonies from human

CD 36+ progenitor cells. The colonies, identified microscopically, were red in color. The size and number of the colonies is reduced compared to those observed with the EPO control probably due to a reduced proliferative signal.

EXAMPLE 6: Demonstration of Erythopoietic Activity in Liquid Cultures

CD34+ cells were enriched from human peripheral blood using a Direct CD34+ Progenitor Cell Isolation Kit (Miltenyi, Auburn, CA). Recovered cells were washed twice with alpha-medium and re-suspended in suspension culture media (alpha-media supplemented with 30% FCS, 1% deionized BSA, 10⁻⁵M β-mercaptoethanol, 10⁻⁶ M dexamethasone, 0.3 mg/mL human hollo-transferrin and 10 ng/mL human recombinant stem cell factor). Cells were plated out at a density of 1 x 10⁴ cells/mL in duplicates in 6-well microplates with test antibody at concentrations ranging from 0.1 – 100 ng/mL. Plates were incubated at 37°C and 5% CO₂ for two weeks. Duplicate samples from each well were recovered for cell counts and staining with benzidine (Reference Fibach, E., 1998 *Hemoglobin*, 22:5-6, 445-458).

The results are shown in Figure 9. Specifically, Ab198 induced the proliferation of human erythroid producing cells derived from progenitor cells in a dose dependent manner. The number of proliferating cells and the percentage expressing hemoglobin, as indicated by staining with benzidine, was reduced compared to the EPO treated controls again probably due to a reduced proliferative signal.

EXAMPLE 7: Cynomolgus Bone Marrow CFUe Assay

Bone marrow was harvested from cynomolgus monkeys and diluted 1:2 with PBS. Three ml of the diluted bone marrow was layered over six ml of Lymphoprep (Gibco (Invitrogen), Carlsbad, CA Cat. #1001967), centrifuged at 2700rpm for 20 minutes and the buffy coat recovered and diluted in 10ml IMDM-2%FBS. Cells were centrifuged and resuspended at 10⁶cells/ml in IMDM-2%FBS. Cells (0.3 ml) were added to tubes containing 2.4 ml Methocult (StemCell Technologies, Vancouver, Canada) Cat. #04230), 0.3 ml stem cell growth factor (Sigma, Cat. #S7901, 100 ug/ml), 0.3 ml EPO (R& D Systems, Minneapolis, Minnesota), test antibody (Ab198), or IMDM-2%FBS. After mixing, 1.1ml of the Methocult suspension was added to a 35mm non tissue culture treated sterile petri dish and incubated at 37°C, 5%CO₂ for 2 weeks. Colonies were identified microscopically. The results of this assay are shown in Figure 10 demonstrate that Ab198 induced the formation of CFU-E colonies (although the number of colonies was reduced compared to that observed with the EPO control).

EXAMPLE 8: ELISA to Measure Binding of SE-3 Peptide

96 well polystyrene plates (Dynatec (Elk Grove Village, IL) Immunolon 4) were coated with 80 ul of 5ug/ml soluble EPO receptor (sEPOR) (R&D Systems (Minneapolis, MN) Cat. #307-ER/LF), or peptide SE-3 (PGNYSFSYQLEDEPWKLCRLHWAPTARGAV) (described in U.S. Patent 6,319,499) diluted in 0.015M Na₂CO₃, 0.035M NaHCO₃, pH 9.4 for 2 hours at room temperature and overnight at 4°C. Plates were blocked for 30 minutes at room temperature with 100 ul of 5%BSA in PBS (Gibco (Invitrogen (Carlsbad, CA)) Cat.#10010). After removal of blocking solution, 50 ul of Ab12 at 5 ug/ml in PBS with 1% BSA was added to wells and plates were incubated at room temperature for 2

hours. Plates were washed three times using a Skatron 400 Plate Washer with PBS/0.05% Tween 20 and 50 ul of secondary antibody diluted in PBS/0.25%BSA/0.05%Tween 20 added to the wells. For Ab12, goat anti-human IgG (Fc)-HRP (Caltag (Burlingame, CA) Cat.#H10507) diluted 1:1000 was used and for Ab 71A (available from the American Type Culture Collection HB11689, also described in U.S. Patent 6,319,499), goat anti mouse IgG (Fc)-HRP (Jackson Laboratories (West Grove, PA) Cat.#115-035-164) diluted 1:5000 was used. After a 1 hour incubation at room temperature, plates were washed three times as before and 50 ul of OPD Developing Reagent (Sigma #P9187) added to each well. Color development was stopped by addition of 50ul of 1N HCl to the wells and optical density measured at 490nm on a Victor 1420 Multi-Label Counter.

Figure 11 shows that Ab12 does not interact (i.e. bind) with SE-3 peptide. Ab 71A does interact (i.e. binds) with the SE-3 peptide Both Abs 12, and 71A interacted with immobilized erythropoietin receptor.

EXAMPLE 9: EPO Dependent Proliferation Assay

Primary hybridoma supernatants were diluted in assay medium and tested for their ability to stimulate the proliferation of the F36E human erythroleukemic cells as described in EXAMPLE 5. Results with five primary supernatants are shown in Figure 12. These samples stimulated the proliferation of F36E cells.

EXAMPLE 10: ELISA to Measure Binding of Hybridoma Supernatants to SE-3 Peptide

Forty-two primary hybridoma supernatants were tested for their ability to bind to either immobilized EPO receptor or peptide SE-3 as described in EXAMPLE 10. Figure 13 shows that whereas all the hybridoma supernatants tested interact with immobilized EPO receptor, only sample 16 interacted with SE-3 peptide at levels above background.

EXAMPLE 11: Comparison of Erythropoietic Activity of Gamma-1 Ab12 versus Gamma-2 Ab12

<u>Proliferation assays (as described in Example 4) were performed to compare</u> the erythropoietic activity of gamma-1 Ab 12 and gamma-2 Ab 12 on F36e human erythroleukemic cells. The results are shown in Figure 31. As Figure 31 shows, gamma-2 Ab 12 was more effective at stimulating proliferation of the F36E cell line than gamma-1 Ab 12.

EXAMPLE 12: Effect of Ab 12 on Erythropoiesis in vivo

- (a) Construction of mEpoR -/-, hEopR + transgenic mice: Transgenic mice that produced only human EpoR (hEpoR+, single allele) and no endogenous mouse EpoR (mEpoR -/-, double allele mutation) were generated as described in Liu, C. et al., Jounal of Biological Chemistry, 272:32395 (1997) and Yu, X., et al., Blood, 98(2):475 (2001). Breeding colonies were established to generate mice for in vivo studies of eryhthropoiesis.
- (b) Multiple dosing regimen: In initial experiments, animals were subjected to a multiple dosing regimen of Ab 12 to determine whether the antibody would cause an increase in reticulocyte counts and/or % hematocrit. Five transgenic mice (mEpoR -/-, hEpoR+, were injected subcutaneously with either 5 μg or 50 μg of Ab 12 in 0.2 mL vehicle (phosphate buffered saline [PBS] containing 0.1% bovine serum albumin ([BSA]). Control animals also were injected in the same manner with equal volumes of the vehicle alone or vehicle containing 5U Epogen® (Amgen®, Thousand Oaks, CA). All animals were dosed over a three-week period in accordance with the following schedule:

Week 1	Week 2	Week 3
Monday, Tuesday, Wednesday,	Monday, Wednesday,	Monday,
<u>Friday</u>	<u>Friday</u>	<u>Wednesday</u>

Sample bleeds were taken on day 4 (Thursday of week 1) for determining reticulocyte counts and on day 19 (Friday of week 3) for determining hematocrits. Reticulocyte counts and hematocrit determinations were made using methods well known in the art. As Figure 32 shows, Ab 12 caused a statistically significant increase (over controls) in reticulocyte count and % hematocrit in animals receiving either 5 or 50 µg of Ab 12 antibody.

(c) Weekly dosing regimen: To assess whether the results seen under a multiple dosing regimen still would be observed in animals receiving fewer doses of Ab 12, transgenic mice were injected (as described in (b) above) with varying

concentrations (0.5, 2.5, 5.0, 50 and 250 μg) of Ab 12 or a control, AranespTM (Amgen[®], Thousand Oaks, CA), a more active variant of Epogen[®] on days 1, 8 and 15 and bled on days 4 and 19 for determination of reticulocyte count and hematocrit, respectively. Control animals received a single dose of vehicle only or a human IgG2 isotype control. Figure 33 shows that Ab 12 caused a statistically significant increase (over vehicle and isotype controls) in pecent hematocrit with all but the lowest concentrations tested.

(d) Single versus weekly dosing regimens: To determine whether a single dose of Ab-12 would have an effect on erythropoiesis after 3 weeks, transgenic mice were dosed with Ab 12 (50 μg), at one week intervals for 3 weeks or with a single dose of Ab 12 (150 μg) and bled on day 19 for determination of percent hematocrit. Control animals received vehicle alone, a single dose of AranespTM (900 ng) or 3 total doses of AranespTM injected at weekly intervals (300 ng x 3). Figure 34 shows that both dosing regimens of Ab 12 caused a statistically significant increase in percent hematocrit over the vehicle control. In contrast, the single dose regimen of AranespTM did not have this effect.

All abstracts, references, patents and published patent applications referred to herein are hereby incorporated by reference.

The present invention is illustrated by way of the foregoing description and examples. The foregoing description is intended as a non-limiting illustration, since many variations will become apparent to those skilled in the art in view thereof.

Changes can be made to the composition, operation and arrangement of the method of the present invention described herein without departing from the concept and scope of the invention.

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Changes can be made to the composition, operation and arrangement of the method of the present invention described herein without departing from the concept and scope of the invention.

WHAT IS CLAIMED IS:

- 1. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal but does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.
- 2. An antibody or antibody fragment thereof that is capable of activating an endogenous activity of a human erythropoietin receptor in a mammal, wherein said antibody or antibody fragment thereof exhibits a binding affinity within one hundred fold of the binding affinity of endogenous human erythropoietin to the erythropoietin receptor.
- 3. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 3 or antibody fragment thereof; wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

4. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising

at least one <u>light</u> chain variable region having the amino acid sequence of SEQ ID NO: 5 or antibody fragment thereof; wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

5. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 3 or antibody fragment thereof; and

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 5 or antibody fragment thereof,

wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

6. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 7 or antibody fragment thereof; wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

7. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 9 or antibody fragment thereof; wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

8. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 7 or antibody fragment thereof; and

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 9 or antibody fragment thereof,

wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of

SEQ ID NO:1.

9. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising

at least one heavy chain variable region having the amino acid sequence selected from the group consisting of SEQ ID NO: 11, 15, 19, 31, 35, 39, 43, 47, 51 and 55 or antibody fragment thereof, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

10. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, comprising

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 13, 17, 21, 23, 25, 27, 29, 33, 37, 41, 45, 49, 53 and 57 or antibody fragment thereof, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

11. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal, said antibody comprising:

the amino acid sequences of at least one heavy chain variable region and at least one light chain variable region selected from the group consisting of SEQ ID NO:11/SEQ ID NO:13, SEQ ID NO:15/SEQ ID NO:17, SEQ ID NO:19/SEQ ID NO:21, SEQ ID NO:11/SEQ ID NO:23, SEQ ID NO:11/SEQ ID NO:25, SEQ ID NO:11/SEQ ID NO:27, SEQ ID NO:11/SEQ ID NO:31/SEQ ID NO:31/SEQ ID NO:33, SEQ ID NO:35/SEQ ID NO:37, SEQ ID NO:39/SEQ ID NO:41, SEQ ID NO:43/SEQ ID NO:45, SEQ ID NO:47/SEQ ID NO:49, SEQ ID NO:51/SEQ ID NO:53 and SEQ ID NO:55/SEQ ID NO:57 or antibody fragment thereof, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.

12. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 3 or antibody fragment thereof.

13. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 5 or antibody fragment thereof.

1<u>4</u>. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 7 or antibody fragment thereof.

15. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 9 or antibody fragment thereof.

16. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 11 or antibody fragment thereof.

17. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 13 or antibody fragment thereof.

18. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 15 or antibody fragment thereof.

19. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 17 or antibody fragment thereof.

<u>20</u>. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 19 or antibody fragment thereof.

 $\underline{21}$. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 21 or antibody fragment thereof.

<u>22</u>. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 23 or antibody fragment thereof.

2<u>3</u>. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 25 or antibody fragment thereof.

24. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO:27 or antibody fragment thereof.

25. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO:29 or antibody fragment thereof.

26. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO:31 or antibody fragment thereof.

27. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO:33 or antibody fragment thereof.

28. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO:35 or antibody fragment thereof.

29. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 37 or antibody fragment thereof.

30. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 39 or antibody fragment thereof.

 $3\underline{1}$. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO:41 or antibody fragment thereof.

32. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 43 or antibody fragment thereof.

3<u>3</u>. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

at least one light chain variable region having the amino acid sequence of SEQ ID NO: 45 or antibody fragment thereof.

34. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising:

SEQ ID NO: 47 or antibody fragment thereof. 35. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising: at least one light chain variable region having the amino acid sequence of SEQ ID NO: 49 or antibody fragment thereof. 36. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising: at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 51 or antibody fragment thereof. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising: at least one light chain variable region having the amino acid sequence of SEQ ID NO: 53 or antibody fragment thereof. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising: at least one heavy chain variable region having the amino acid sequence of SEQ ID NO: 55 or antibody fragment thereof. An isolated antibody or antibody fragment thereof capable of binding to a human erythropoietin receptor in a mammal, said antibody comprising: at least one light chain variable region having the amino acid sequence of SEQ

ID NO: 57 or antibody fragment thereof.

at least one heavy chain variable region having the amino acid sequence of

- <u>40</u>. An isolated antibody capable of binding a human erythropoietin receptor in a mammal, said antibody comprising a heavy chain variable region comprising a continuous sequence from CDR1 through CDR3 having the amino acid sequence selected from the group consisting of: SEQ ID NO:<u>58</u>, SEQ ID NO:<u>59</u>, SEQ ID NO:60, and SEQ ID NO:61 and fragments thereof.
- 41. An isolated antibody capable of binding a human erythropoietin receptor in a mammal, said antibody comprising a light chain variable region comprising a continuous sequence from CDR1 through CDR3 having the amino acid sequence selected from the group consisting of: SEQ ID NO:62, SEQ ID NO:63, SEQ ID NO:64, SEQ ID NO:65, SEQ ID NO:66, SEQ ID NO:67, SEQ ID NO:68, SEQ ID NO:74, SEQ ID NO:75, SEQ ID NO:76, SEQ ID NO:77, SEQ ID NO:78 and fragments thereof.
- 42. A method of activating an endogenous activity of a human erythropoietin receptor in a mammal, the method comprising the step of administering to said mammal a therapeutically effective amount of an antibody or antibody fragment thereof to activate said receptor, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.
- 43. A method of modulating an endogenous activity of a human erythropoietin receptor in a mammal, the method comprising the step of administering to a mammal a therapeutically effective amount of the antibody or antibody fragment of claim 1 to modulate the activity of the receptor.
- 44. A method of treating a mammal suffering aplasia, the method comprising the step of administering to a mammal in need of treatment a therapeutically effective amount of an antibody or antibody fragment thereof to activate said receptor, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.
- 45. A method of treating a mammal suffering aplasia, the method comprising the step of administering to a mammal in need of treatment a

therapeutically effective amount of the antibody or antibody fragment of claim 1 to modulate the activity of the receptor.

- <u>46</u>. A pharmaceutical composition comprising a therapeutically effective amount of an antibody or antibody fragment thereof and a pharmaceutically acceptable excipient, wherein said antibody or antibody fragment thereof does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.
- 47. An isolated and purified polynucleotide sequence selected from the group consisting of: SEQ ID NO:2, SEQ ID NO:4, SEQ ID NO:6, SEQ ID NO:8, SEQ ID NO:10, SEQ ID NO:12, SEQ ID NO:14, SEQ ID NO:16, SEQ ID NO:18, SEQ ID NO:20, SEQ ID NO:22, SEQ ID NO:24, SEQ ID NO:26, SEQ ID NO:28, SEQ ID NO:30, SEQ ID NO:32, SEQ ID NO:34, SEQ ID NO:36, SEQ ID NO:38, SEQ ID NO:40, SEQ ID NO:42, SEQ ID NO:44, SEQ ID NO:46, SEQ ID NO:48, SEQ ID NO:50, SEQ ID NO:52, SEQ ID NO:54, SEQ ID NO:56, fragments, complements, and degenerate codon equivalents thereof.
- 48. An isolated and purified amino acid sequence selected from the group consisting of: SEQ ID NO:3, SEQ ID NO:5, SEQ ID NO:7, SEQ ID NO:9, SEQ ID NO:11, SEQ ID NO:13, SEQ ID NO:15, SEQ ID NO:17, SEQ ID NO:19, SEQ ID NO:21, SEQ ID NO:23, SEQ ID NO:25, SEQ ID NO:27, SEQ ID NO:29, SEQ ID NO:31, SEQ ID NO:33, SEQ ID NO:35, SEQ ID NO:37, SEQ ID NO:39, SEQ ID NO:41, SEQ ID NO:43, SEQ ID NO:45, SEQ ID NO:47, SEQ ID NO:49, SEQ ID NO:51, SEQ ID NO:53, SEQ ID NO:55, SEQ ID NO:57, SEQ ID NO:58, SEQ ID NO:59, SEQ ID NO:60, SEQ ID NO:61, SEQ ID NO:62, SEQ ID NO:63, SEQ ID NO:64, SEQ ID NO:65, SEQ ID NO:66, SEQ ID NO:67, SEQ ID NO:68 and fragments thereof.
- 49. An antibody or antibody fragment thereof that activates an endogenous activity of a human erythropoietin receptor in a mammal wherein said antibody or antibody fragment is a gamma-2 isotype.
- <u>50</u>. The antibody or antibody fragment of claim 49 wherein said antibody or antibody fragment is a monoclonal antibody.

- $\underline{51}$. The antibody or antibody fragment of claim $\underline{50}$ wherein said antibody or antibody fragment is a humanized antibody.
- $\underline{52}$. The antibody or antibody fragment of claim $\underline{50}$ wherein said antibody or antibody fragment is a human antibody.
- <u>53</u>. The antibody or antibody fragment of claim <u>50</u> wherein said antibody or antibody fragment does not interact with a peptide having an amino acid sequence of SEQ ID NO:1.
- <u>54</u>. The antibody or antibody fragment of claim <u>50</u> wherein said antibody or antibody fragment is selected from the group consisting of Ab3, Ab12, Ab22, Ab54, Ab60, Ab102, Ab135, Ab145, Ab198, Ab254, Ab267, Ab390, Ab412, Ab430/432, Ab467 and Ab484.
- $\underline{55}$. A method of activating an endogenous activity of a human erythropoietin receptor in a mammal, the method comprising the step of administering to a mammal a therapeutically effective amount of the antibody or antibody fragment of claim $\underline{49}$ to activate said receptor.
- <u>56</u>. A method of modulating an endogenous activity of a human erythropoietin receptor in a mammal, the method comprising the step of administering to a mammal a therapeutically effective amount of the antibody or antibody fragment of claim 49 to modulate the activity of the receptor.
- <u>57</u>. A method of treating a mammal suffering aplasia, the method comprising the step of administering to a mammal in need of treatment a therapeutically effective amount of the antibody or antibody fragment of claim <u>49</u> to activate the receptor.
- <u>58</u>. A method of treating a mammal suffering aplasia, the method comprising the step of administering to a mammal in need of treatment a therapeutically effective amount of the antibody or antibody fragment of claim <u>49</u> to modulate the activity of the receptor.

- 59. A method of treating a mammal suffering anemia, the method comprising the steps of administering to a mammal in need of treatment a therapeutically effective amount of the antibody or antibody fragment of claim 49 to modulate the activity of the receptor.
- $\underline{60}$. A pharmaceutical composition comprising a therapeutically effective amount of the antibody or antibody fragment of claim $\underline{49}$ and a pharmaceutically acceptable excipient.

Abstract of the Disclosure

The present invention relates to antibodies and antibody fragments thereof that bind to and activate an erythropoietin receptor. The present invention also relates to methods of modulating the endogenous activity of an erythropoietin receptor in a mammal using said antibodies as well as pharmaceutical compositions containing said antibodies.

To: mbullrich@noetar.com.ar

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

Re: U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002

U.S. Appl No.: Not Yet Received U.S. Filing Date: October 10, 2003

Your Reference: P4734, P4735, P4736, P4737

Our Reference: 6989.AR.O1, 6989.CL.O1, 6989.PE.O1, 6989.UY.O1

Gentlemen:

Further to our email dated October 7, 2003, please be advised that the missing Accession numbers for our biological deposit with the American Type Culture Collection (ATCC) are as follows:

PTA-5554

PTA-5555

<u>Please insert these accession numbers on page 36 of the tracked specification in the spaces indicated.</u>

Also, please be advised the filing date for the US Application claimed as the 2nd priority originally reported as October 13, 2003 is NOT correct. The correct filing date for the 2nd claimed priority is **OCTOBER 10, 2003.**

If you have further questions please do not hesitate to contact me.

Sincerely,

Glen F. Gadiano Patents and Trademarks Abbott Laboratories Work (847) 937-4790 Fax (847) 938-2623 Cell (773) 490-3689

>>> NOTICE <<<



To: Kimberly A Iorio/LAKE/CORP/ABBOTT@ABBOTT
Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

---- Forwarded by Mercedes E Aguilar/LAKE/CORP/ABBOTT on 11/20/2003 03:32 PM -----



Mercedes E Aguilar 10/07/2003 05:26 PM

To: mbullrich@noetar.com.ar

cc: Dianne Casuto/LAKE/CORP/ABBOTT@ABBOTT, Glen F

Gadiano/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 7, 2003

VIA EMAIL
ORIGINALS TO FOLLOW VIA FEDEX

Noetinger & Armando 25 de Mayo 489, piso 8° 1339 Buenos Aires, Argentina

Re: New Patent Application for: ARGENTINA, CHILE, PERU AND URUGUAY

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002

U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Your Reference: P4734, P4735, P4736, P4737

Our Reference: 6989.AR.O1, 6989.CL.O1, 6989.PE.O1, 6989.UY.O1

Gentlemen:

Patent Application Filing in Argentina

In addition to our email dated October 1, 2003, please find enclosed herewith the final version of the specification for the above-referenced patent application. The changes have been tracked with the Track changes option under the Tools menu in Microsoft Word. This "tracked" document shows new additions in <u>underline</u> and new deletions as strikethrough (eg. <u>deleted word</u>). Please let us know immediately if you are not able to use this feature in order to track the differences between this version and our previously sent specification.

Also, please be aware that we have made a biological deposit in the American Type Culture Collection (ATCC), pursuant to the Budapest Treaty on September 30, 2003 (page 36 of tracked specification). We currently are awaiting verification of our deposit and receipt of deposit (i.e. accession) numbers. We want to incorporate these accession numbers into the specification prior to the convention filing and will send them to you as soon as we receive them.

Also enclosed are the drawings and sequence listings for these application. Please formalize according to country law.

Please file the application before the convention date and furnish us with the filing date and serial number of the application along with your debit note. Please claim Paris Convention priority from the above-identified patent application. If the subject matter of the appended application is not patentable in your country, please contact us immediately.

Patent Application Filing in Chile, Peru and Uruguay

Also, as per our previous correspondence, please handle Convention filing and prosecution of the filings in Chile, Peru and Uruguay, in addition to Argentina. We ask that you prepare a common Spanish translation to be forwarded to the agents along with your instructions to file the application in the above three countries. You may use the agents for these countries that are listed in your letter to us of December 29, 2000.

Spanish Translation to be sent to Venezuela

In addition, the Spanish translation of the application should be sent to the following agent in time for the application to be filed within the Convention year.

FOR FILING IN VENEZUELA

Montoya, Kociecki & Associados Avenida Francisco de Miranda Edificio Parque Crista, piso 13, of 13-6 Los Palos Grandes Caracas 1060 - Venezuela

Phone 9-011-582-283-6566/283-2485 Fax: 9-011-582-283-8553 e-mail: montkoci@telcel.net.ve

We will be sending all other paperwork to our agent asking them to file the application and handle the prosecution from this end.

Please note that instead of sending a paper copy of the translated application, we would rather receive ALL translations done by your firm on diskette.

If you have any further questions, please contact me.

Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto
Patent and Trademark Department





6989USO2 Informal Drawings.; 6989.US.O2 SEQUENCE LISTING.c 6989.US.O2 SEQUENCE LISTING.T



6989.US.O2 - Tracked Specification.c

>>> NOTICE <<<



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 1, 2003

VIA EMAIL
ORIGNALS TO FOLLOW VIA <u>FEDEX</u>

Noetinger & Armando 25 de Mayo 489, piso 8° 1339 Buenos Aires, Argentina

Re: New Patent Application for: ARGENTINA, CHILE, PERU AND URUGUAY

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: *Not Yet Received* U.S. Filing Date: October 13, 2003 Our Reference: 6989.AR.O1, 6989.CL.O1, 6989.PE.O1, 6989.UY.O1

Gentlemen:

Patent Application Filing in Argentina

The documents indicated below are attached hereto for the purpose of filing a patent application:

1 Specification and claims

1 Diskette with an electronic copy of the Specification and claims

Assignment - Legalized - Forthcoming

Certified copies of: U.S. Appl No.: 10/269,711 Filing Date: October 14, 2002 & U.S. Appl No.: Not Yet Received U.S. Filing Date: October ___, 2003 - Forthcoming

PLEASE BE ADVISED, THE ELECTRONIC VERSION OF THE SPECIFICATION ATTACHED HEREWITH IS NOT THE FINAL VERSION AND IS BEING SENT TO YOU TO BEGIN THE TRANSLATION PROCESS. ADDITIONAL TEXT WILL NEED TO BE ADDED TO THE APPLICATION AS WELL AS FIGURES AND THE DNA SEQUENCE LISTING. THE ADDITIONAL TEXT WILL BE SENT TO YOU BEFORE THE CONVENTION DATE UNDER SEPARATE COVER.

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We will order the Certified copies of the priority document(s) for Argentina, Chile, Peru and Uruguay and forward them to you as soon as we have received them.

Page. 2.

October 1, 2003:

To: Noetinger & Armando

Re: New Patent Application for Argentina, Chile, Peru and Uruguay

Spanish Translation to be sent to Venezuela

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FOR FILING IN VENEZUELA

Montoya, Kociecki & Associados Avenida Francisco de Miranda Edificio Parque Crista, piso 13, of 13-6 Los Palos Grandes Caracas 1060 - Venezuela

Phone 9-011-582-283-6566/283-2485

Fax: 9-011-582-283-8553 e-mail: montkoci@telcel.net.ve

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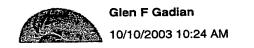
If you have any further questions, please contact me.

Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto
Patent and Trademark Department



To: skrine@skrine.po.my

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

Re: U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002

U.S. Appl No.: Not Yet Received U.S. Filing Date: October 10, 2003

Your Reference:

Our Reference: 6989.MY.O1

Gentlemen:

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PTA-5554 PTA-5555

<u>Please insert these accession numbers on page 36 of the tracked specification in the spaces indicated.</u>

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If you have further questions please do not hesitate to contact me.

Sincerely,

Glen F. Gadiano Patents and Trademarks Abbott Laboratories Work (847) 937-4790 Fax (847) 938-2623 Cell (773) 490-3689

>>> NOTICE <<<



To: Kimberly A lorio/LAKE/CORP/ABBOTT@ABBOTT
Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

----- Forwarded by Mercedes E Aguilar/LAKE/CORP/ABBOTT on 11/20/2003 03:29 PM -----



Mercedes E Aguilar 10/07/2003 05:29 PM

To: skrine@skrine.po.my

cc: Dianne Casuto/LAKE/CORP/ABBOTT@ABBOTT, Glen F

Gadiano/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 7, 2003

VIA EMAIL ORIGINALS TO FOLLOW VIA <u>FEDEX</u>

Skrine & Co.
Unit No. 50-8-1, 8th Floor
Wisma UOA Damansara
50, Jalan Dungun
Damansara Heights
50490 Kuala Lumpur, Malaysia

Re: New Patent Application for: MALAYSIA

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Your Reference: Unknown Our Reference: 6989.MY.O1

Gentlemen

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Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto

Patent and Trademark Department







6989.US.O2 - Tracked Specification.c 6989.US.O2 SEQUENCE LISTING.c 6989.US.O2 SEQUENCE LISTING.T

6989USO2 Informal Drawings.

>>> NOTICE <<<



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 1, 2003

VIA EMAIL ORIGNALS TO FOLLOW VIA <u>FEDEX</u>

Skrine & Co. Unit No. 50-8-1, 8th Floor Wisma UOA Damansara 50, Jalan Dungun Damansara Heights 50490 Kuala Lumpur, Malaysia

Re: New Patent Application for: MALAYSIA

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Our Reference: 6989.MY.O1

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1 Diskette with an electronic copy of the Specification and claims

Assignment - Legalized - Forthcoming

Certified copies of: U.S. Appl No.: 10/269,711 Filing Date: October 14, 2002 & U.S. Appl No.: Not Yet Received U.S. Filing Date: October ___, 2003 - Forthcoming

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Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto
Patent and Trademark Department



To: Kimberly A Iorio/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

---- Forwarded by Mercedes E Aguilar/LAKE/CORP/ABBOTT on 11/20/2003 03:28 PM -----



Mercedes E Aguilar 10/07/2003 05:29 PM

To: attorneys@leeandli.com

cc: Dianne Casuto/LAKE/CORP/ABBOTT@ABBOTT, Glen F

Gadiano/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 7, 2003

VIA EMAIL ORIGINALS TO FOLLOW VIA FEDEX

Lee and Li
7th Floor
201, Tun Hua North Road
Taipei 105, Taiwan,
Rep. of China

e: New Patent Application for: TAIWAN

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Your Reference: Unknown Our Reference: 6989.TW.O1

Gentlemen

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Dianne Casuto

Dianne Casuto

Patent and Trademark Department







6989.US.O2 - Tracked Specification.c 6989.US.O2 SEQUENCE LISTING.c 6989.US.O2 SEQUENCE LISTING.T



6989USO2 Informal Drawings.; >>> NOTICE <<<



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 1, 2003

VIA EMAIL ORIGNALS TO FOLLOW VIA <u>FEDEX</u>

Lee and Li 7th Floor 201, Tun Hua North Road Taipei 105, Taiwan, Rep. of China

Re: New Patent Application for: TAIWAN

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Our Reference: 6989.TW.O1

Gentlemen:

The documents indicated below are attached hereto for the purpose of filing a patent application.

1 Specification and claims

1 Diskette with an electronic copy of the Specification and claims

Assignment - Legalized - Forthcoming

Certified copies of: U.S. Appl No.: 10/269,711 Filing Date: October 14, 2002 & U.S. Appl No.: Not Yet Received U.S. Filing Date: October ___, 2003 - Forthcoming

PLEASE BE ADVISED, THE ELECTRONIC VERSION OF THE SPECIFICATION ATTACHED HEREWITH IS NOT THE FINAL VERSION AND IS BEING SENT TO YOU TO BEGIN THE TRANSLATION PROCESS. ADDITIONAL TEXT WILL NEED TO BE ADDED TO THE APPLICATION AS WELL AS FIGURES AND THE DNA SEQUENCE LISTING. THE ADDITIONAL TEXT WILL BE SENT TO YOU BEFORE THE CONVENTION DATE UNDER SEPARATE COVER.

Please file the application before the convention date and furnish us with the filing date and serial number of the application along with your debit note. Please claim Paris Convention priority from the above-identified patent application(s). If the subject matter of the appended application is not patentable in your country, please contact us immediately.

Please note that instead of sending a paper copy of the translated application, we would rather receive ALL translations done by your firm on diskette.

Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto

Patent and Trademark Department

To: postmaster@tillekeandgibbins.com
Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

Re: U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002

U.S. Appl No.: Not Yet Received U.S. Filing Date: October 10, 2003

Your Reference:

Our Reference: 6989.TH.O1

Gentlemen:

Further to our email dated October 7, 2003, please be advised that the missing Accession numbers for our biological deposit with the American Type Culture Collection (ATCC) are as follows:

PTA-5554 PTA-5555

<u>Please insert these accession numbers on page 36 of the tracked specification in the spaces indicated.</u>

Also, please be advised the filing date for the US Application claimed as the 2nd priority originally reported as October 13, 2003 is NOT correct. The correct filing date for the 2nd claimed priority is **OCTOBER 10, 2003.**

If you have further questions please do not hesitate to contact me.

Sincerely,

Glen F. Gadiano Patents and Trademarks Abbott Laboratories Work (847) 937-4790 Fax (847) 938-2623 Cell (773) 490-3689

>>> NOTICE <<<



To: Kimberly A Iorio/LAKE/CORP/ABBOTT@ABBOTT
Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14, 2003

----- Forwarded by Mercedes E Aguilar/LAKE/CORP/ABBOTT on 11/20/2003 03:30 PM -----



Mercedes E Aguilar 10/07/2003 05:28 PM To: postmaster@tillekeandgibbins.com

cc: Dianne Casuto/LAKE/CORP/ABBOTT@ABBOTT, Glen F

Gadiano/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14, 2003



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA

October 7, 2003

VIA EMAIL ORIGINALS TO FOLLOW VIA F<u>EDEX</u>

Telephone: (847) 938-3137

(847) 938-2623

Tilleke & Gibbins R.O.P. Advocates & Solicitors Tilleke & Gibbins Building 64/1 Soi Tonson, Ploenchit Road Bangkok 10330 Thailand

Re: New Patent Application for: THAILAND

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Your Reference: Unknown Our Reference: 6989.TH.O1

Gentlemen

In addition to our email dated October 1, 2003, please find enclosed herewith the final version of the specification for the above-referenced patent application. The changes have been tracked with the Track changes option under the Tools menu in Microsoft Word. This "tracked" document shows new additions in <u>underline</u> and new deletions as strikethrough (eg. <u>deleted word</u>). Please let us know immediately if you are not able to use this feature in order to track the differences between this version and our previously sent specification.

Also, please be aware that we have made a biological deposit in the American Type Culture Collection (ATCC), pursuant to the Budapest Treaty on September 30, 2003 (page 36 of tracked specification). We currently are awaiting verification of our deposit and receipt of deposit (i.e. accession) numbers. We want to incorporate these accession numbers into the specification prior to the convention filing and will send them to you as soon as we receive them.

Also enclosed are the drawings and sequence listings for these application. Please formalize according to country law.

Please file the application before the convention date and furnish us with the filing date and serial number of the application along with your debit note. Please claim Paris Convention priority from the above-identified patent application. If the subject matter of the appended application is not patentable in your country, please contact us immediately.

If you have any further questions, please contact me.

Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto

Patent and Trademark Department







6989.US.O2 - Tracked Specification.c 6989.US.O2 SEQUENCE LISTING.c 6989.US.O2 SEQUENCE LISTING.T



6989USO2 Informal Drawings.; >>> NOTICE <<<



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA Telephone: (847) 938-3137 Fax: (847) 938-2623

October 1, 2003

VIA EMAIL ORIGNALS TO FOLLOW VIA F<u>EDEX</u>

Tilleke & Gibbins R.O.P. Advocates & Solicitors Tilleke & Gibbins Building 64/1 Soi Tonson, Ploenchit Road Bangkok 10330 Thailand

Re: New Patent Application for: THAILAND

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: *Not Yet Received U.S.* Filing Date: October 13, 2003

Our Reference: 6989.TH.O1

Gentlemen

The documents indicated below are attached hereto for the purpose of filing a patent application.

1 Specification and claims

1 Diskette with an electronic copy of the Specification and claims

Assignment - Legalized - Forthcoming

Certified copies of: U.S. Appl No.: 10/269,711 Filing Date: October 14, 2002 & U.S. Appl No.: Not Yet Received U.S. Filing Date: October ___, 2003 - Forthcoming

PLEASE BE ADVISED, THE ELECTRONIC VERSION OF THE SPECIFICATION ATTACHED HEREWITH IS NOT THE FINAL VERSION AND IS BEING SENT TO YOU TO BEGIN THE TRANSLATION PROCESS. ADDITIONAL TEXT WILL NEED TO BE ADDED TO THE APPLICATION AS WELL AS FIGURES AND THE DNA SEQUENCE LISTING. THE ADDITIONAL TEXT WILL BE SENT TO YOU BEFORE THE CONVENTION DATE UNDER SEPARATE COVER.

Please file the application before the convention date and furnish us with the filing date and serial number of the application along with your debit note. Please claim Paris Convention priority from the above-identified patent application(s)

If the subject matter of the appended application is not patentable in your country, please contact us immediately.

Please note that instead of sending a paper copy of the translated application, we would rather receive ALL translations done by your firm on diskette.

Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto

Patent and Trademark Department

To: montkoci@telcel.net.ve

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14 2003

Re: U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002

U.S. Appl No.: Not Yet Received U.S. Filing Date: October 10, 2003

Your Reference:

Our Reference: 6989.VE.O1

Gentlemen:

Further to our email dated October 7, 2003, please be advised that the missing Accession numbers for our biological deposit with the American Type Culture Collection (ATCC) are as follows:

PTA-5554 PTA-5555

<u>Please insert these accession numbers on page 36 of the tracked specification in the spaces indicated.</u>

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If you have further questions please do not hesitate to contact me.

Sincerely,

Glen F. Gadiano Patents and Trademarks Abbott Laboratories Work (847) 937-4790 Fax (847) 938-2623 Cell (773) 490-3689

>>> NOTICE <<<



To: Kimberly A Iorio/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14, 2003

---- Forwarded by Mercedes E Aguilar/LAKE/CORP/ABBOTT on 11/20/2003 03:31 PM ----



Mercedes E Aguilar 10/07/2003 05:27 PM To: montkoci@telcel.net.ve

cc: Dianne Casuto/LAKE/CORP/ABBOTT@ABBOTT, Glen F

Gadiano/LAKE/CORP/ABBOTT@ABBOTT

Subject: URGENT: NEW CONVENTION FILING DUE OCTOBER 14, 2003



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA

October 7, 2003

VIA EMAIL ORIGINALS TO FOLLOW VIA FEDEX

Telephone: (847) 938-3137

Fax: (847) 938-2623

Montoya, Kociecki & Associados Avenida Francisco de Miranda Edificio Parque Crista, piso 13, of 13-6 Los Palos Grandes Caracas 1060 – Venezuela

Re: New Patent Application for: VENEZUELA

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: Not Yet Received U.S. Filing Date: October 13, 2003

Your Reference: Unknown Our Reference: 6989.VE.O1

Gentlemen:

In addition to our email dated October 1, 2003, please find enclosed herewith the final version of the specification for the above-referenced patent application. The changes have been tracked with the Track changes option under the Tools menu in Microsoft Word. This "tracked" document shows new additions in <u>underline</u> and new deletions as strikethrough (eg. <u>deleted word</u>). Please let us know immediately if you are not able to use this feature in order to track the differences between this version and our previously sent specification.

Also, please be aware that we have made a biological deposit in the American Type Culture Collection (ATCC), pursuant to the Budapest Treaty on September 30, 2003 (page 36 of tracked specification). We currently are awaiting verification of our deposit and receipt of deposit (i.e. accession) numbers. We want to incorporate these accession numbers into the specification prior to the convention filing and will send them to you as soon as we receive them.

Also enclosed are the drawings and sequence listings for these application. Please formalize according to country law.

Please file the application before the convention date and furnish us with the filing date and serial number of the application along with your debit note. Please claim Paris Convention priority from the above-identified patent application. If the subject matter of the appended application is not patentable in your country, please contact us immediately.

Please note that you will be receiving the Spanish translation of the specification from our Argentina agent Noetinger and Armando.

If you have any further questions, please contact me.

Very truly yours,

ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto

Patent and Trademark Department







6989.US.O2 - Tracked Specification.c 6989.US.O2 SEQUENCE LISTING.c 6989.US.O2 SEQUENCE LISTING.T



6989USO2 Informal Drawings.; >>> NOTICE <<<



Patent and Trademark Department D-377/AP6A-1 Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-6008 USA

Telephone: (847) 938-3137 Fax: (847) 938-2623

October 1, 2003

VIA EMAIL ORIGNALS TO FOLLOW VIA <u>FEDEX</u>

Montoya, Kociecki & Associados Avenida Francisco de Miranda Edificio Parque Crista, piso 13, of 13-6 Los Palos Grandes Caracas 1060 – Venezuela

Re: New Patent Application for: VENEZUELA

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002 U.S. Appl No.: *Not Yet Received U.S.* Filing Date: October 13, 2003

Our Reference: 6989.VE.O1

Gentlemen

The documents indicated below are attached hereto for the purpose of filing a patent application.

1 Specification and claims

Assignment - Legalized - Forthcoming

Certified copies of: U.S. Appl No.: 10/269,711 Filing Date: October 14, 2002 & U.S. Appl No.: Not Yet Received U.S. Filing Date: October ___, 2003 - Forthcoming

PLEASE BE ADVISED, THE ELECTRONIC VERSION OF THE SPECIFICATION ATTACHED HEREWITH IS NOT THE FINAL VERSION AND IS BEING SENT TO YOU TO BEGIN THE TRANSLATION PROCESS. ADDITIONAL TEXT WILL NEED TO BE ADDED TO THE APPLICATION AS WELL AS FIGURES AND THE DNA SEQUENCE LISTING. THE ADDITIONAL TEXT WILL BE SENT TO YOU BEFORE THE CONVENTION DATE UNDER SEPARATE COVER.

Please file the application before the convention date and furnish us with the filing date and serial number of the application along with your debit note. Please claim Paris Convention priority from the above-identified patent application(s)

We have asked our agent in Argentina to translate the application and forward a copy of the translated application to you as soon as possible. Our agent in Argentina is as follows:

 Noetinger & Armando
 Phone: 9-011-54-11-4315-9200

 25 de Mayo 489, piso 8°
 Fax: 9-011-54-11-4315-9201

 1339 Buenos Aires, Argentina
 E-mail: info@noetar.com.ar

If the subject matter of the appended application is not patentable in your country, please contact us immediately.

Very truly yours, ABBOTT LABORATORIES

Dianne Casuto

Dianne Casuto

Patent and Trademark Department



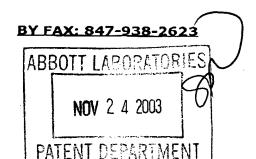
NOETINGER & ARMANDO

AUCGADOS

25 de MAYO 489 81 Piso C HRIPABI - BUENOS AIRES ARGENTINA

NORBERTO R. ARMANDO
FERNANDO NOETINGER
MIGUEL N. ARMANDO
LUIS F. NOGUES
MATÍAS F. NOETINGER
LUIS E. DENUBLE SÁNCHEZ
HORTENSIA DE MONASTERIO
BRUNO TORRANO
PABLO L. TOMASELLI
JUAN E. PRINGLES
ENRIQUE F. NOETINGER ENRIQUE F. NOETINGER JUAN G. POZZO

TEL: (54-11) 4315-9200 FAX. (54-11) 4315-9201 4312-7647 E-mail: info@noethr.com.ar



November 24, 2003

Mr. Glen F. Gadiano Patent and Trademarks **Abbott Laboratories** Dept 377 Bldg. AP6A-1 100 Abbott Park Road

Abbott Park, II 60064-6008

USA

RE: Official Filing Dates

Your ref: 6989.AG.O1, 6989.CL.O1, 6989.PE.O1,

6989.UY.O1

Our ref: P 4734, P4735, P4736 and P 4737

Dear Mr. Gadiano:

As requested in your today's e-mail, please find below the official filing dates and serial numbers of the referenced applications. Also, find enclosed copy of the filing receipts. Please, note that, unfortunately, we do not have the filing receipt of the Uruguayan application.

Reference	Country	Filing Date	Serial Number
6989.AG.O1 / P 4734	Argentina	10/14/2003	P 03 01 03731
6989.CL.O1 / P 4735	Chile	10/13/2003	2062-2003.
6989.PE.O1 / P 4736	Peru	10/13/2003	1038.2003
6989.UY.O1 / P 4737	Uruguay	10/14/2003	28.021

Don't hesitate to contact us if we can be of further help.

Sincerely yours,

Mariana Bullrich

/msc

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\sim	CORIERNO DE CI	4II I

PORZIO, RIOS & ASOCIADOS

GOBIERNO DE CHILE
SUBSECRETARIA DE ECONOMIA
Departamento de Propiedad Industrial
A-3653

A-3653 4735

SOLICITUD DE PATENTE

USO EXCLUSIVO I).P.I. 11 Nº Rogistro
43 Fecha de Publicación	
22 Fecha de Solicitud	15 Fectia de Registro

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12 X	PATENTE DE INVENCIÓN PATENTE PRECAUCIONAL MODELO DE UTILIDAD DISEÑO INDUSTRIAL	PRIORIDAD 31	DOCUMENTOS ACOMPAÑADOS RESUMLN MEMORIA DESCRIPTIVA PLIEGO DE REIVINDICACIONES DIBUJOS PODER CÉSIÓN CUPIA PRIORIDAO PROTOTIPO TRADUCIDA AL ESPAÑOL
71		o Apellido Paterno, Apellido Materno, Nombres - Calle, C	omuna, Ciudad, Pals - Telefono, Correo Electrónico)
74	Marino Porzio Bozzolo y/o Rafael Covarrubias Santa Lucia No. 330	Paterno, Apellido Materno, Nombres - Calle, Comuna, Ciu y/o Hernán Rios de Marimón y/o Cris Porzio), Piso 7°, Casilla: 10.294, Santi Fax. (562)632 67 32, Correo electrór	tóbal Porzio Honorato ago, Chile
72	INVENTOR O CREADOR (AR Peter J. DeVries Edward B. Reilly Larry L. Green James Wieler David H. Ostrow	e Estados E Estados E Canadá.	na, Cludad, Pais - Tolófono, Correo Electrónico) Unidos de Norteamérica, Unidos de Norteamérica. Unidos de Norteamérica. Unidos de Norteamérica.

De conformidad con el Art, 44 de la Ley Nº 19.039 sobre Propiedad Industrial, declaro/declaramo que los datos consignados en este formularlo son verdaderos.

Marino Porzio Bozzolo

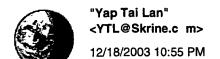
Nombre / Firma Representante

Nombre y Firma Solicitante

USO EXCLUSIVO DE RECEPCIÓN

SOLICITUD DE REGISTRO DE PATENTE

SOLECTION DE MEGI	SINO DE PATENTE			
	indecopi INSTITUTO NACIONAL DI DEFENSA DE LA	(21)	**************************************	
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OFICINA DE INVENCION	ES Y NUEVAS TECNOLOGIA	s	1:	
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(12) Patente de Invención	TALOUE 10	de Utilidad 🔲		
(71) Solicitante (s), domicilio (s ABBOTT LABORATORIES), y país (es) Estados Unidos de América	`		
Teléfono (s)		Telefacsimil (és)		•
(72) Inventor (es), domicilio (s)	y nacionalidad (cs)	Telefacsiniii (es)		
Ver hoja adjunta	. ,			
(74) Representante / Apoderado BARREDA MOLLER Av. Angamos Oeste 1200 - C LIMA 18		·	-	•
N° Agente	Poder N"	nexo a:		
Teléfono (s) 221-5715	Telefacsim		1916	
(54) Título de la invención ANTICUERPOS CONTRA EL I (51) Clasificación internacional s	RECEPTOR DE ERITROPOYETINA			
(30) Reivindica Prioridad	Sí 🗸	No 🗌		
31) Número (s)	(32) Fecha (s)	(33)	País (es)	
Ver hoja adjunta		()	. ats (63)	
RECAUDOS ANEXOS: Descripción: 82 hojas (Reivindicaciones: 16 ho Resumen (2 ejemplare Dibujos o Planos: - numerados de	jas (<u>2</u> ejemplares) s)	Fecha: 13	de Octubre	de 2003
Poder o Documento de personer Documento (s) de prioridad Certificado de exhibición	jemplares)		Firma	
Comprobante de pago de tasa Informe (s) de búsqueda o de pat Reducciones del plano o dibujo p Documento de cesión Otros, especificar: Pliego de 60	rincipal	,	Nombre del Firma	nte
•		i .		



To: <glen.gadiano@abbott.com>
Subject: YREF: 6989.my.01 Patent application in Malaysia

SKRINE

Unit No. 50-8-1, 8th Floor Wisma UOA Damansara 50, Jalan Dungun Damansara Heights 50490 Kuala Lumpur

Telephone : 603 2094 8111 ext 264

Facsimile : 603 2094 3211 Email : ytl@skrine.com

OREF : LTB/YTL/2036026.8

We refer to your e-mail of 6 Dec 2003 and your reminders thereof.

We have responded to your query via our fax of 9 December 2003, sent on 15 December and re-sent on 18 December 2003. We are not sure why you did not receive our fax. We faxed to 847 938 2623.

We can confirm that the application was filed on 14 October 2003 and allotted the application no. PI 20033910. Please let us know if you wish to proceed further with this application. If affirmative, please note that we are awaiting from you the outstanding U.S. application number for the case filed on 13 October 2003. We have a deadline of 14 January 2004 to submit this outstanding information.

We look forward to your reply.

Regards T B LEE

This message and any attachment are confidential and may be privileged or otherwise protected from disclosure. If you are not the intended recipient, please telephone or e-mail the sender and delete this message and any attachment from your system. If you are not the intended recipient you must not copy this message or attachment or disclose the content to any other person.

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PATENT, TRADEMARK, COPYRIGHT,
LITIGATION, ARBITRATION,
GOVERNMENT CONTRACTS AND INFRASTRUCTURE



Website: http://www.leeandli.com E-mail: attorneys@leeandli.com

TEL: 886-2-27153300

FACSIMILE: • 886-2-2713-3966,-2718-4389(7F)

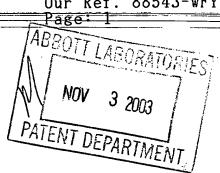
886-2-2713-3970,-2713-3999(10F)
886-2-2718-8497(PATENT)
886-2-2718-7099(TRADEMARK)

· 886-2-2514-9841(BANKING)

13 October 2003 Our Ref. 88543-WFY

BY FACSIMILE FAX: 18479382623

MS. DIA NNE CASUTO
ABBOTT LABORATORIES
PATENT & TRADEMARK DEPARTMENT
DEPT. 377, BLDG. AP6A-1
100 ABBOTT PARK ROAD
ABBOTT PARK, ILL. 60064-6008
U. S. A.



From: Daisy Wang (Coordinator)/C. V. Chen

Re: ABBOTT LABORATORIES

ROC (Taiwan) Patent Application

Your Ref: 6989.TW.01 Our File No. 88543-WFY

We confirm that we have filed the captioned patent application with the Intellectual Property Office (IPO):

Date of Filing

<u>Serial Number</u>

13 October 2003

(to be advised)

We will send you our formal filing report as soon as possible. Please let us know if you have any questions.

Best regards.

... end of message ...

CVC/wfy

FACSIMILE TRANSMISSION



Tilleke & Gibbins INTERNATIONAL LIID

Fax: International +66 2263 7710 thru 7713 Local 0 2263 7710 thru 7713 Total No. of Pages: (including this page)

If you do not receive this transmission clearly, please call the Fax Room at +66 2263 7700 (International) or 0 2263 7700 (Local) Ext. 295

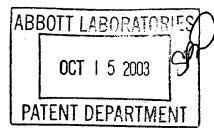
This facsimile transmission is solicitor/client privileged and contains confidential information intended only for the person(s) named below. Any other distribution, copying or disclosure is strictly prohibited.

Fax No.: (847) 938-2623 - U.S.A.

Your Ref: 6989.TH.O1 Our Ref: A588-248P

October 15, 2003

Mr. Glen F. Gadiano
Abbott Laboratories
100 Abbott Park Road
Abbott Park, Illinois 60064-6008
U.S.A.



Page 1 of 1

ABANDONED

Re: Thai Patent Application No. 085871 for "Erythropoietin receptor binding antibodies" in the name of Abbott Laboratories.

Dear Mr. Gadiano,

Thank you for your e-mail message of October 10, 2003.

Please be informed that the above application was successfully filed with the Thai Patent Office on October 10, 2003, and was allocated Application No. 085871.

Per your instructions, we will insert the Accession numbers for the biological deposit into page 36 of the English specification and correct the filing date of the second priority case before submitting the same to the Thai Patent Office.

We look forward to receiving the serial number for the second priority case and the Deed of Assignment from you by January 4, 2004. We also require the priority documents from you by February 10, 2004.

All further developments in this matter will be reported to you in due course.

Very truly yours,

TILLEKE & GIBBINS INTERNATIONAL LTD.

Ms. Vipa Chueniaipanich

Managing Director of Trademark & Patent Registration

VC:ki

KIM, 27

Tilleke & Gibbins International Ltd., 64/1 Soi Tonson, Ploenchit Road, Bangkok 10330 THA1LAND

Tel: International +66 2263 7700, +66 2254 2640 Local 0 2263 7700, 0 2254 2640

Fax: International +66 2263 7710 thru 7713, +66 8201 0034 & 0035 Local 0 2263 7710 thru 7713, 0 2652 1833 & 1834

Internet: www.tillekeandgibbins.com E-mail: postmaster@tillekeandgibbins.com

Re: ABBOTT LABORATORIES

Patent application entitled: "ERYTHROPOIETIN RECEPTOR BINDING ANTIBODIES" in Venezuela

U.S. Appl No.: 10/269,711 U.S. Filing Date: October 14, 2002

U.S. Appl No.: Not yet received U.S. Filing Date: October 13, 2003

o/ref: 2171-P y/ref: 6989.VE.01

Dear Sirs:

In reply to your below email, please be advised that the patent application has been filed at the Venezuelan PTO on October 13, 2003 under the No. 2003-001752. It has been filed before your instructions of abandonment.

One copy has been forwarded to you with our letter dated October 15, 2003. You can also find it attached to this message.

This is our third mail, so please confirm safe receipt of this message, as we see that you have not received our first and second delivery.

Regards,

Slawomir Kociecki

Montoya, Kociecki & Asociados

Venezuela e-mail: montkoci@telcel.net.ve

ec

11 de Diciembre de 2003, you wrote:

GGac> We are still awaiting your response to the below attached email. GGac> Please confirm whether you did or did not file the above GGac> referenced application in Venezuela and if you did file, please

GGac> supply the details.

GGac> Regards,

GGac> Glen F. Gadiano

GGac> Patents and Trademarks

GGac> Abbott Laboratories

GGac> Work (847) 937-4790

GGac> Fax (847) 938-2623

GGac> Cell (773) 490-3689

GGac> Glen F Gadiano

GGac> 12/05/2003 12:46 PM

Gac> To: montkoci@telcel.net.ve

GGac> cc:

GGac> Subject: URGENT! Request for Official Filing Dates for Your Ref.:

P2171-P

GGac> Re: Official Filing Dates

GGac> Your Reference: P2171-P

GGac> Our Reference: 6989VEO1

GGac> Gentlemen:

GGac> Regarding the above referenced application, although you were

GGac> instructed to allow this application to lapse/go abandon, could

GGac> you please advise whether or not you were able to file this GGac> application in Venezuela? An if so, can you please supply me

GGac> with the Official Filing Date for the application and also a

GGac> copy of the Filing Receipt if available?

GGac> A return email or fax is sufficient.

GGac> Best Regards,

GGac> Glen F. Gadiano

GGac> Patent and Trademarks

GGac> Abbott Laboratories

GGac> Work (847) 937-4790

GGac> Fax (847) 938-2623



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